

MATH 113 HOMEWORK 6 SOLUTIONS

Solutions by Guanyang Wang, with edits by Tom Church.

Exercises from the book.

**Exercise 6.C.4** Suppose  $U$  is the subspace of  $\mathbb{R}^4$  defined by

$$U = \text{span}((1, 2, 3, -4), (-5, 4, 3, 2))$$

Find an orthonormal basis of  $U$  and an orthonormal basis of  $U^\perp$

*Answer.* Notice that the list  $(1, 2, 3, -4)$  and  $(-5, 4, 3, 2)$  is linearly independent since neither vector is the scalar multiple of the other. Thus we will extend the list  $(1, 2, 3, -4), (-5, 4, 3, 2)$  to a basis

$$(1, 2, 3, -4), (-5, 4, 3, 2), w_1, w_2$$

of  $\mathbb{R}^4$  and then apply the Gram-Schmidt Procedure.

To extend  $(1, 2, 3, -4), (-5, 4, 3, 2)$  to a basis of  $\mathbb{R}^4$ , we follow the idea of the proof of 2.33. Thus we start with the list

$$(1, 2, 3, -4), (-5, 4, 3, 2), (1, 0, 0, 0), (0, 1, 0, 0), (0, 0, 1, 0), (0, 0, 0, 1)$$

which spans  $\mathbb{R}^4$ . We need to apply the Gram-Schmidt Procedure anyway, and thus in this case the easiest thing to do is to start the Gram-Schmidt Procedure and throw out any vectors that would lead to division by 0 (indicating linear independence), or stop when we reach a list of length four.

To get started, we have

$$e_1 = \frac{(1, 2, 3, -4)}{\|(1, 2, 3, -4)\|} = \left( \frac{1}{\sqrt{30}}, \sqrt{\frac{2}{15}}, \sqrt{\frac{3}{10}}, -2\sqrt{\frac{2}{15}} \right).$$

Next,

$$\begin{aligned} e_2 &= \frac{(-5, 4, 3, 2) - \langle (-5, 4, 3, 2), e_1 \rangle e_1}{\|(-5, 4, 3, 2) - \langle (-5, 4, 3, 2), e_1 \rangle e_1\|} \\ &= \left( -\frac{77}{\sqrt{12030}}, 28\sqrt{\frac{2}{6015}}, 13\sqrt{\frac{3}{4010}}, 19\sqrt{\frac{2}{6015}} \right). \end{aligned}$$

Next,

$$\begin{aligned} e_3 &= \frac{(1, 0, 0, 0) - \langle (1, 0, 0, 0), e_1 \rangle e_1 - \langle (1, 0, 0, 0), e_2 \rangle e_2}{\|(1, 0, 0, 0) - \langle (1, 0, 0, 0), e_1 \rangle e_1 - \langle (1, 0, 0, 0), e_2 \rangle e_2\|} \\ &= \left( \sqrt{\frac{190}{401}}, \frac{117}{\sqrt{76190}}, 6\sqrt{\frac{10}{7619}}, \frac{151}{\sqrt{76190}} \right). \end{aligned}$$

There is no division by 0 here, and no linear dependence yet.

Next,

$$\begin{aligned} e_4 &= \frac{(0, 1, 0, 0) - \langle (0, 1, 0, 0), e_1 \rangle e_1 - \langle (0, 1, 0, 0), e_2 \rangle e_2 - \langle (0, 1, 0, 0), e_3 \rangle e_3}{\|(0, 1, 0, 0) - \langle (0, 1, 0, 0), e_1 \rangle e_1 - \langle (0, 1, 0, 0), e_2 \rangle e_2 - \langle (0, 1, 0, 0), e_3 \rangle e_3\|} \\ &= \left( 0, \frac{9}{\sqrt{190}}, -\sqrt{\frac{10}{19}}, -\frac{3}{\sqrt{190}} \right). \end{aligned}$$

Again there is no division by 0 here, and thus no linear dependence yet.

Since  $\mathbb{R}^4$  has dimension 4, we know that  $e_1, e_2, e_3, e_4$  is a basis of  $\mathbb{R}^4$ , and there is no need to continue the process further.

Thus, by the previous exercise,

$$\left( \frac{1}{\sqrt{30}}, \sqrt{\frac{2}{15}}, \sqrt{\frac{3}{10}}, -2\sqrt{\frac{2}{15}} \right), \left( -\frac{77}{\sqrt{12030}}, 28\sqrt{\frac{2}{6015}}, 13\sqrt{\frac{3}{4010}}, 19\sqrt{\frac{2}{6015}} \right)$$

is an orthonormal basis of  $U$  and

$$\left( \sqrt{\frac{190}{401}}, \frac{117}{\sqrt{76190}}, 6\sqrt{\frac{10}{7619}}, \frac{151}{\sqrt{76190}} \right), \left( 0, \frac{9}{\sqrt{190}}, -\sqrt{\frac{10}{19}}, -\frac{3}{\sqrt{190}} \right)$$

is an orthonormal basis of  $U^\perp$  □

**Exercise 6.C.6** Suppose  $U$  and  $W$  are finite-dimensional subspaces of  $V$ . Prove that  $P_U P_W = 0$  if and only if  $\langle u, w \rangle = 0$  for all  $u \in U$  and all  $w \in W$ .

*Proof.* First suppose  $P_U P_W = 0$ . Suppose  $w \in W$ . Then

$$\begin{aligned} 0 &= P_U P_W w \\ &= P_U w \end{aligned}$$

Hence  $w \in \text{null} P_U$ . Now 6.55(e) shows that  $w \in U^\perp$ . Thus  $\langle u, w \rangle = 0$  for all  $u \in U$ , completing one direction of the proof.

To prove the other direction, now suppose that  $\langle u, w \rangle = 0$  for all  $u \in U$  and all  $w \in W$ . Thus  $U \subset W^\perp$  and  $W \subset U^\perp$ . If  $w \in W$ , then

$$(P_U P_W)(w) = P_U(P_W w) = P_U w = 0$$

where the last equality holds because  $w \in U^\perp$ . If  $v \in W^\perp$ , then

$$(P_U P_W)(v) = P_U(P_W v) = P_U 0 = 0.$$

Since every element in  $V$  can be written as the sum of a vector in  $W$  and a vector in  $W^\perp$  (by 6.47), the last two equations imply that  $P_U P_W = 0$ , as desired. □

**Exercise 6.C.11** In  $\mathbb{R}^4$ , let

$$U = \text{span}((1, 1, 0, 0), (1, 1, 1, 2))$$

Find  $u \in U$  s.t.  $\|u - (1, 2, 3, 4)\|$  is as small as possible.

*Proof.* First, we find an orthogonal basis for  $U$ . (So we won't bother to make the vectors have norm 1.) We keep  $u_1 = (1, 1, 0, 0)$ . Then we subtract off  $u_1$  from  $v_2 = (1, 1, 1, 2)$ . We have that  $v_2 - u_1 = (0, 0, 1, 2)$  is perpendicular to  $u_1$ . So we set  $u_2 = (0, 0, 1, 2)$ . Now  $u_1, u_2$  form a basis for  $U$ . Using this basis, we see that elements of  $U$  are vectors of the form  $(x, x, y, 2y)$  for  $x, y \in \mathbb{R}$ .

So we want to find  $x$  and  $y$  s.t. the vector  $(x, x, y, 2y) - (1, 2, 3, 4)$  has the least norm. Noting that  $(x, x, y, 2y) - (1, 2, 3, 4) = (x-1, x-2, y-3, 2y-4)$ , we compute

$$\begin{aligned} \|(x-1, x-2, y-3, 2y-4)\|^2 &= (x-1)^2 + (x-2)^2 + (y-3)^2 + (2y-4)^2 \\ &= 2x^2 - 6x + 5 + 5y^2 - 22y + 16 \\ &= 2x^2 - 6x + 5y^2 - 22y + 21 \end{aligned}$$

This is minimized when  $p(x) = 2x^2 - 6x$  and  $q(y) = 5y^2 - 22y$  are both minimized. As their leading coefficients are positive, both of these quadratics go to infinity as  $x$

and  $y$  go to infinity, respectively. Thus their local critical points are their respective minima. Taking derivatives, we get that

$$p'(x) = 4x - 6 \text{ and } q'(y) = 10y - 22$$

So their minima are at  $x = \frac{3}{2}$  and  $y = \frac{11}{5}$ , respectively. Therefore the vector  $u \in U$  s.t.  $\|u - (1, 2, 3, 4)\|$  is smallest is  $u = (\frac{3}{2}, \frac{3}{2}, \frac{11}{5}, \frac{22}{5})$ .

Here is another way to do this problem:

The vector  $v_3 = (0, 1, 1, 0)$  is not in  $U$  because it is not of the correct form. Note that  $(1, 2, 3, 4) = u_1 + 2u_2 + v_3$  so  $(1, 2, 3, 4)$  is in the span of  $u_1, u_2$  and  $v_3$ . We want to find a vector  $u_3$  in the span of  $u_1, u_2$  and  $v_3$  s.t.  $u_3$  is orthogonal to  $u_1$  and  $u_2$ . We have that

$$v_3 \cdot u_1 = 1 \text{ and } v_3 \cdot u_2 = 1$$

We also have

$$u_1 \cdot u_1 = 2 \text{ and } u_2 \cdot u_2 = 5$$

Thus  $u_3 = v_3 - \frac{1}{2}u_1 - \frac{1}{5}u_2$  is orthogonal to  $u_1$  and  $u_2$ . We can see this directly by writing  $u_3 = (-\frac{1}{2}, \frac{1}{2}, \frac{4}{5}, -\frac{2}{5})$ . Since  $(1, 2, 3, 4) = u_1 + 2u_2 + v_3$  and  $v_3 = u_3 + \frac{1}{2}u_1 + \frac{1}{5}u_2$ , we get that

$$\begin{aligned} (1, 2, 3, 4) &= \frac{3}{2}u_1 + \frac{11}{5}u_2 + u_3 \\ &= \frac{3}{2}(1, 1, 0, 0) + \frac{11}{5}(0, 0, 1, 2) + (-\frac{1}{2}, \frac{1}{2}, \frac{4}{5}, -\frac{2}{5}) \end{aligned}$$

Now suppose  $u \in U$  is the vector s.t.  $\|u - (1, 2, 3, 4)\|$  is minimal. Since  $u \in U$ , we can write  $u = a_1u_1 + a_2u_2$  for some  $a_1, a_2 \in \mathbb{R}$ . Thus,

$$\begin{aligned} \|u - (1, 2, 3, 4)\| &= \|a_1u_1 + a_2u_2 - \frac{3}{2}u_1 + \frac{11}{5}u_2 + u_3\| \\ &= \|(a_1 - \frac{3}{2})u_1 + (a_2 - \frac{11}{5})u_2 - u_3\| \\ &= (a_1 - \frac{3}{2})^2\|u_1\|^2 + (a_2 - \frac{11}{5})^2\|u_2\|^2 + \|u_3\|^2 \end{aligned}$$

because  $u_1, u_2, u_3$  are orthogonal. This quantity is minimized when  $a_1 = \frac{3}{2}$  and  $a_2 = \frac{11}{5}$ . Thus the  $u \in U$  that is closest to  $(1, 2, 3, 4)$  is  $\frac{3}{2}u_1 + \frac{11}{5}u_2 = (\frac{3}{2}, \frac{3}{2}, \frac{11}{5}, \frac{22}{5})$ .  $\square$

**Exercise 7.A.1.** Suppose  $n$  is a positive integer. Define  $T \in \mathcal{L}(\mathbb{F}^n)$  by

$$T(z_1, \dots, z_n) = (0, z_1, \dots, z_{n-1})$$

Find a formula for  $T^*(z_1, \dots, z_n)$

*Proof.* Fix  $(z_1, \dots, z_n) \in \mathbb{F}^n$ . Then for every  $(w_1, \dots, w_n) \in \mathbb{F}^n$ , we have

$$\begin{aligned} \langle (w_1, \dots, w_n), T^*(z_1, \dots, z_n) \rangle &= \langle T(w_1, \dots, w_n), (z_1, \dots, z_n) \rangle \\ &= \langle (0, w_1, \dots, w_{n-1}), (z_1, \dots, z_n) \rangle \\ &= w_1\bar{z}_2 + \dots + w_{n-1}\bar{z}_n \\ &= \langle (w_1, \dots, w_n), (z_2, \dots, z_n, 0) \rangle. \end{aligned}$$

Thus

$$T^*(z_1, \dots, z_n) = (z_2, \dots, z_n, 0).$$

$\square$

**Exercise 7.A.2** Suppose  $T \in \mathcal{L}(V)$  and  $\lambda \in \mathbb{F}$ . Prove that  $\lambda$  is an eigenvalue of  $T$  iff  $\bar{\lambda}$  is an eigenvalue of  $T^*$ .

*Proof.* Suppose  $\lambda$  is an eigenvalue of  $T$ . Then there is some  $v \neq 0$  s.t.  $Tv = \lambda v$ . Thus,

$$\langle Tv, w \rangle = \langle \lambda v, w \rangle$$

for each  $w \in V$ . Note that  $\langle \lambda v, w \rangle = \lambda \langle v, w \rangle = \langle v, \bar{\lambda} w \rangle$  by linearity of the inner product. If  $T^*$  is the adjoint of  $T$ , then  $\langle T(v), w \rangle = \langle v, T^* w \rangle$ , so we have

$$\langle v, \bar{\lambda} w \rangle = \langle v, T^* w \rangle$$

for each  $w$  in  $W$ . By linearity of the inner product, this means that

$$\langle v, T^* w - \bar{\lambda} w \rangle = 0$$

for each  $w \in W$ . Thus,  $v$  is perpendicular to all vectors of the form  $T^* w - \bar{\lambda} w$ .

Let  $S = T^* - \bar{\lambda}I$ . The image of  $S$  is all vectors of the form  $T^* w - \bar{\lambda} w$  so  $v$  is perpendicular to all vectors in the image of  $S$ . However, since  $v$  is nonzero, it cannot be perpendicular to itself ( $\langle v, v \rangle > 0$  is an axiom of inner products), so  $v \notin \text{Image} S$ . This shows that  $\text{Image} S \neq V$ , so  $\dim \text{Image} S$  must be strictly less than the dimension of the image of  $V$ . By Rank-Nullity, this implies that  $\dim \text{Null} S > 0$ . Therefore, there is some non-zero element in the null space of  $S$ . So there is some  $w$  s.t.  $T^* w = \bar{\lambda} w$ , meaning  $\bar{\lambda}$  is an eigenvalue of  $T^*$ .

Since  $(T^*)^* = T$ , this also shows that any eigenvalue of  $T^*$  is also the conjugate of an eigenvalue of  $T$ . Therefore  $\lambda$  is an eigenvalue of  $T$  iff  $\bar{\lambda}$  is an eigenvalue of  $T^*$ .  $\square$

**Exercise 7.A.4** Suppose  $T \in \mathcal{L}(V, W)$ . Prove that

- (a)  $T$  is injective if and only if  $T^*$  is surjective;
- (b)  $T$  is surjective if and only if  $T^*$  is injective.

*Proof.* First we prove (a)

$$\begin{aligned} T \text{ is injective} &\iff \text{Null } T = 0 \\ &\iff (\text{Range } T^*)^\perp = 0 \\ &\iff \text{Range } T^* = W \\ &\iff T \text{ is surjective} \end{aligned}$$

Where the second line comes from 7.7(c).

Note that (a) has been proved, (b) follows immediately by replacing  $T$  with  $T^*$  in (a).  $\square$

**Question 1.** Suppose  $(e_1, \dots, e_m)$  is an orthonormal list of vectors in  $V$ . Let  $v \in V$ . Prove that

$$\|v\|^2 = |\langle v, e_1 \rangle|^2 + \dots + |\langle v, e_m \rangle|^2$$

if and only if  $v \in \text{span}(e_1, \dots, e_m)$ .

*Proof.* Denote  $\text{span}(e_1, \dots, e_m)$  by  $U$ . Then we can write every vector  $v$  in  $V$  as  $u + w$  with  $u \in U$  and  $w \in U^\perp$ . So we have

$$\|v\|^2 = \langle u + w, u + w \rangle = \langle u, u \rangle + \langle w, w \rangle = \|u\|^2 + \|w\|^2$$

The second equality holds since  $(u, w) = (w, u) = 0$ .

Then, since  $(e_1, \dots, e_m)$  is an orthonormal basis of  $U$ . We can find  $a_1, \dots, a_m$  such that  $u = a_1 e_1 + \dots + a_m e_m$ . Therefore we have

$$\begin{aligned} \|u\|^2 &= \langle a_1 e_1 + \dots + a_m e_m, a_1 e_1 + \dots + a_m e_m \rangle \\ &= \sum_{i,j=1}^m \langle a_i e_i, a_j e_j \rangle \\ &= \sum_{i,j=1}^m a_i \bar{a}_j \langle e_i, e_j \rangle \end{aligned}$$

Since  $e_i$  and  $e_j$  are orthogonal if  $i \neq j$ , and since  $\langle e_i, e_i \rangle = 1$ , we get

$$\|u\|^2 = |a_1|^2 + \dots + |a_m|^2$$

On the other hand,

$$\begin{aligned} \langle v, e_i \rangle &= \langle u + w, e_i \rangle \\ &= \langle a_1 e_1 + \dots + a_m e_m + w, e_i \rangle \\ &= a_i \end{aligned}$$

Since  $e_i$  and  $w$  are orthogonal for every  $i \in \{1, 2, \dots, m\}$ , and since  $e_i$  and  $e_j$  are orthogonal if  $i \neq j$ .

So,  $|\langle v, e_i \rangle|^2 = |a_i|^2$  meaning that

$$\begin{aligned} \|v\|^2 &= \|u\|^2 + \|w\|^2 \\ &= |a_1|^2 + \dots + |a_m|^2 + \|w\|^2 \\ &= |\langle v, e_1 \rangle|^2 + \dots + |\langle v, e_m \rangle|^2 + \|w\|^2 \end{aligned}$$

If  $v \in \text{span}(e_1, \dots, e_m)$ , then  $v = a_1 e_1 + \dots + a_m e_m$ . That is,  $w = 0$ . Thus  $\|v\|^2 = |\langle v, e_1 \rangle|^2 + \dots + |\langle v, e_m \rangle|^2$ .

If  $\|v\|^2 = |\langle v, e_1 \rangle|^2 + \dots + |\langle v, e_m \rangle|^2$ , then we have  $\|w\|^2 = 0$ , therefore  $w = 0$ , so we have  $v = u + 0 = u \in U$ . By definition,  $U = \text{span}(e_1, \dots, e_m)$ , so  $v \in \text{span}(e_1, \dots, e_m)$ . □

**Question 2.** Let  $V$  be the vector space of infinite sequences of real numbers:

$$V = \{(a_1, a_2, \dots) \mid a_i \in \mathbb{R}\}$$

This is an infinite dimensional vector space over  $\mathbb{R}$ . Let  $T \in \mathcal{L}(V)$  be the forward shift defined by

$$T(a_1, a_2, \dots) = (0, a_1, a_2, \dots)$$

a) The operator  $T + I$  is given by

$$(T + I)(a_1, a_2, a_3, \dots) = (a_1, a_1 + a_2, a_2 + a_3, \dots)$$

Find an inverse  $(T + I)^{-1}$  for this operator.

b) For which values  $\lambda \in \mathbb{R}$  is the operator  $T - \lambda I$  non-invertible?

c) What are the eigenvalues of  $T$ ?

d) Explain the discrepancy between your answers to 2 and 3.

*Proof.* a) The operator  $T + I$  is given by

$$(T + I)(a_1, a_2, a_3, \dots) = (a_1, a_1 + a_2, a_2 + a_3, \dots)$$

Let

$$S(a_1, a_2, a_3, \dots) = (a_1, a_2 - a_1, a_3 - a_2 + a_1, a_4 - a_3 + a_2 - a_1, \dots)$$

This is the inverse of  $T + I$ . To see this, we compute  $S(T + I)(a_1, a_2, \dots)$ :

$$S(a_1, a_1 + a_2, a_2 + a_3, \dots) = (a_1, (a_1 + a_2) - a_1, (a_2 + a_3) - (a_1 + a_2) + a_1, \dots)$$

Thus  $S(T + I)v = v$  for all  $v \in V$ . We also need to compute  $(T + I)S(a_1, a_2, \dots)$ :

$$(T + I)(a_1, a_2 - a_1, a_3 - a_2 + a_1, \dots) = (a_1, (a_2 - a_1) + a_1, (a_3 - a_2 + a_1) + (a_2 - a_1), \dots)$$

Thus  $(T + I)Sv = v$  for all  $v \in V$ . Since  $S(T + I) = (T + I)S = I$ , we get that  $S = (T + I)^{-1}$ .  $\square$

b) The operator  $T - \lambda I$  is given by

$$(T - \lambda I)(a_1, a_2, a_3, \dots) = (-\lambda a_1, a_1 - \lambda a_2, a_2 - \lambda a_3, \dots)$$

Let

$$S_\lambda = \left(-\frac{1}{\lambda}a_1, -\frac{1}{\lambda^2}a_1 - \frac{1}{\lambda}a_2, -\frac{1}{\lambda^3}a_1 - \frac{1}{\lambda^2}a_2 - \frac{1}{\lambda}a_3, \dots\right)$$

For  $\lambda \neq 0$ , we will show that  $S_\lambda = (T - \lambda I)^{-1}$ . Indeed, if we write  $S_\lambda(a_1, a_2, \dots) = (b_1, b_2, \dots)$  then the  $n^{\text{th}}$  term of  $S_\lambda$  is  $b_n = -\frac{1}{\lambda^n}a_1 - \frac{1}{\lambda^{n-1}}a_2 - \dots - \frac{1}{\lambda}a_n$ , which is in fact  $\frac{1}{\lambda}(b_{n-1} - a_n)$ . We can see  $b_1, b_2, \dots$  all as functions from  $V$  to  $\mathbb{R}$ .

We apply  $S_\lambda$  to  $(T - \lambda I)(a_1, a_2, \dots)$ . We have that  $b_1(a_1, a_2, \dots)$  is  $-\frac{1}{\lambda}(-\lambda a_1) = a_1$ . The  $n^{\text{th}}$  term of  $(T - \lambda I)(a_1, a_2, \dots)$  is  $a_{n-1} - \lambda a_n$ . Suppose  $b_{n-1}(T - \lambda I)(a_1, a_2, \dots) = a_{n-1}$ . Then

$$b_n(T - \lambda I)(a_1, a_2, \dots) = \frac{1}{\lambda}(b_{n-1}(T - \lambda I)(a_1, a_2, \dots) - (a_{n-1} - \lambda a_n))$$

$$\text{(because } b_n(a_1, a_2, \dots) = b_{n-1} - a_n)$$

$$= \frac{1}{\lambda}(a_{n-1} - (a_{n-1} - \lambda a_n))$$

$$\text{(since by assumption, } b_{n-1}(T - \lambda I)(a_1, \dots) = a_n)$$

$$= a_n$$

So by induction,  $S_\lambda(T - \lambda I) = I$ . This can be seen by direct computation for the first few terms:

$$S_\lambda(-\lambda a_1, a_1 - \lambda a_2, a_2 - \lambda a_3, \dots) =$$

$$\begin{aligned} & \left(a_1, -\frac{1}{\lambda^2}(-\lambda a_1) - \frac{1}{\lambda}(a_1 - \lambda a_2), -\frac{1}{\lambda^3}(-\lambda a_1) - \frac{1}{\lambda^2}(a_1 - \lambda a_2) - \frac{1}{\lambda}(a_2 - \lambda a_3), \dots\right) \\ & = (a_1, a_2, a_3, \dots) \end{aligned}$$

Next, we must show that  $(T - \lambda I)S_\lambda(a_1, a_2, \dots) = (a_1, a_2, \dots)$ . Once again, we use that the  $n^{\text{th}}$  term of  $S_\lambda(a_1, a_2, \dots)$  is  $b_n = \frac{1}{\lambda}(b_{n-1} - a_n)$ , and that the  $n^{\text{th}}$  term of  $(T - \lambda I)(a_1, a_2, \dots)$  is  $a_{n-1} - \lambda a_n$ . Thus,

$$\begin{aligned} (T - \lambda I)(S_\lambda(a_1, a_2, \dots)) &= (T - \lambda I)(b_1, b_2, \dots) \\ &= (-\lambda b_1, b_1 - \lambda b_2, \dots, b_{n-1} - \lambda b_n, \dots) \\ &= (-\lambda(-\frac{1}{\lambda}a_1), b_1 - \lambda(\frac{1}{\lambda}(b_1 - a_n)), \dots, b_{n-1} - \lambda(\frac{1}{\lambda}(b_{n-1} - a_n)), \dots) \\ &= (a_1, a_2, \dots, a_n, \dots) \end{aligned}$$

Therefore  $(T - \lambda I)S_\lambda = I$ . Since  $(T - \lambda I)S_\lambda = S_\lambda(T - \lambda I) = I$ ,  $S_\lambda = (T - \lambda I)^{-1}$  for all  $\lambda \neq 0$ .

Thus  $T - \lambda I$  is invertible for all  $\lambda \neq 0$ . However, for  $\lambda = 0$  we have  $T - \lambda I = T - 0I = T$ , and  $T$  is not invertible. Indeed, the image of  $T$  is clearly contained in the subspace  $\{(0, *, *, *, \dots)\}$  of sequences whose first entry is 0, so  $T$  is not surjective. Since  $T$  is not surjective, it cannot be bijective, so it cannot have an inverse even as a map of sets.

(However, note that if we let  $S$  be the backwards shift:

$$S(a_1, a_2, a_3, \dots) = (a_2, a_3, \dots)$$

Then applying  $S_0$  to  $T$ , we get

$$\begin{aligned} S(T(a_1, a_2, \dots)) &= S(0, a_1, a_2, \dots) \\ &= (a_1, a_2, \dots) \end{aligned}$$

So  $ST = I$ , which might lead us to think that  $T$  is invertible.

However,

$$\begin{aligned} T(S(a_1, a_2, a_3, \dots)) &= T(a_2, a_3, \dots) \\ &= (0, a_2, a_3, \dots) \end{aligned}$$

So  $TS \neq I$ , and so we see that  $S$  is not an inverse for  $T$ .

So  $T - \lambda I$  is not invertible only for  $\lambda = 0$ . □

- c) Suppose  $\lambda$  is an eigenvalue of  $T$ . Then  $T(a_1, a_2, \dots) = \lambda(a_1, a_2, \dots)$  meaning that

$$(0, a_1, a_2, \dots) = (\lambda a_1, \lambda a_2, \dots)$$

This gives us that  $\lambda a_1 = 0$ , so either  $\lambda = 0$  or  $a_1 = 0$ . This equation also gives us  $\lambda a_n = a_{n-1}$  for  $n \geq 2$ . If  $\lambda = 0$ , then  $a_1, a_2, \dots$  all equal zero. Thus  $\lambda$  is not an eigenvalue. If  $a_1 = 0$  but  $\lambda \neq 0$  then  $\lambda a_2 = a_1$  implies that  $a_2 = 0$ , and so on. So if  $\lambda \neq 0$  then we also get that  $a_1 = a_2 = \dots = 0$ . Therefore  $T$  has no eigenvalues. □

- d) The discrepancy is that  $T - \lambda I$  is not invertible when  $\lambda = 0$ , but 0 is not an eigenvalue of  $T$ . In the finite-dimensional case, when an operator is not invertible, it is also not injective by Rank-Nullity. If  $T - \lambda I$  were not injective that would mean that  $(T - \lambda I) \neq \{0\}$ , so  $\lambda$  would be an eigenvalue. However,  $V$  is infinite-dimensional. In the infinite-dimensional case, an operator can be not invertible, and still be injective because Rank-Nullity no longer holds (nor does it make sense.)  $T$  is an example of such an operator that is injective but not invertible. That is why we have that  $T$  is not invertible, but 0 is not an eigenvalue of  $T$ . □