

MATH 248B. HOMEWORK 5

1. Fix  $N \geq 1$  and a choice of  $i = \sqrt{-1} \in \mathbf{C}$ . Consider  $r \mapsto \langle r \rangle := \begin{pmatrix} r & 0 \\ 0 & 1 \end{pmatrix}$  as a section to  $\det : \mathrm{GL}_2(\mathbf{Z}/N\mathbf{Z}) \rightarrow (\mathbf{Z}/N\mathbf{Z})^\times$ , identify  $(\mathbf{Z}/N\mathbf{Z})^\times$  with  $\mu_N^\times$  via  $r \mapsto \zeta_r = e^{2\pi i r/N}$ , and for any  $\gamma \in \mathrm{SL}_2(\mathbf{Z}/N\mathbf{Z})$  let  $\gamma^\sim$  denote an arbitrary preimage in  $\mathrm{SL}_2(\mathbf{Z})$  (which exists: “Chinese Remainder Theorem” for  $\mathrm{SL}_2$ ).

(i) Prove that under the identification of  $\prod_{(\mathbf{Z}/N\mathbf{Z})^\times} \Gamma(N) \backslash \mathfrak{h}_i$  as a coarse moduli space for the functor of isomorphism classes of full level- $N$  structures (where  $(\tau, r)$  corresponds to  $(\mathbf{C}/\Lambda_\tau, \{r\tau/N, 1/N\})$ ), the natural left action of  $\mathrm{GL}_2(\mathbf{Z}/N\mathbf{Z})$  is  $g \cdot (\tau, r) = ([\langle r \det g \rangle^{-1} g \langle r \rangle]^\sim)(\tau), (\det g)r$ .

(ii) Prove that the map  $Y(N) \rightarrow \mathrm{GL}_2(\mathbf{Z}) \backslash ((\mathbf{C} - \mathbf{R}) \times \mathrm{GL}_2(\mathbf{Z}/N\mathbf{Z}))$  defined by  $(\tau, r) \mapsto (\tau, \langle r \rangle^{-1})$  is a holomorphic isomorphism that is  $\mathrm{GL}_2(\mathbf{Z}/N\mathbf{Z})$ -equivariant when using the natural left action on  $Y(N)$  and the natural left action on the target via  $g \cdot (m_0, g_0) = (m_0, g_0 g^{-1})$ .

2. (i) Prove that every compact open subgroup of  $\mathrm{GL}_n(\mathbf{Q}_p)$  is conjugate to a subgroup of  $\mathrm{GL}_n(\mathbf{Z}_p)$  (hint: consider  $\mathbf{Z}_p$ -lattices in  $\mathbf{Q}_p^n$ ) and that  $\mathrm{GL}_n(\mathbf{Z}_p)$  is maximal as a compact subgroup. Deduce the analogue for  $\mathrm{GL}_n(\mathbf{A}_f)$  using  $\mathrm{GL}_n(\prod_p \mathbf{Z}_p)$ , and show that all compact subrings of  $\mathbf{A}_f$  lie in  $\prod_p \mathbf{Z}_p$ .

(ii) For  $n \geq 2$ , use (i) and the conjugation action of  $\mathrm{GL}_n(\mathbf{Q}_p)$  on  $\mathrm{SL}_n(\mathbf{Q}_p)$  to prove that every compact subgroup of  $\mathrm{SL}_n(\mathbf{Q}_p)$  lies in a maximal compact open subgroup, of which there are  $n$  conjugacy classes, all conjugate in  $\mathrm{GL}_n(\mathbf{Q}_p)$ . (Hint:  $\mathrm{GL}_n(\mathbf{Q}_p)$  acts on  $\mathrm{SL}_n(\mathbf{Q}_p)$  through its quotient  $\mathrm{PGL}_n(\mathbf{Q}_p)$ .) How about for  $\mathrm{PGL}_n$ ? (Hint:  $\mathrm{SL}_n(\mathbf{Q}_p) \rightarrow \mathrm{PGL}_n(\mathbf{Q}_p)$  is proper with finite fibers.)

(iii) For a finite set  $S$  of places of  $\mathbf{Q}$  containing  $\infty$ , define  $\mathbf{A}^S$  to be the factor ring of  $\mathbf{A}$  obtained by removing  $\prod_{v \in S} \mathbf{Q}_v$ . The *strong approximation theorem* says that for any connected semisimple  $\mathbf{Q}$ -group  $G$  that is simply connected (e.g.,  $\mathrm{SL}_n, \mathrm{Sp}_{2g}$ ),  $G(\mathbf{Q})$  has dense image in  $G(\mathbf{A}^S)$  for any  $S$  when  $G(\mathbf{Q}_v)$  is non-compact for some  $v \in S$  (e.g.,  $S = \{\infty\}$ ). An analogue is valid over any global field.

For a finite type affine  $\mathbf{Z}$ -scheme  $\mathcal{X}$  with generic fiber  $X$ , prove that if  $X(\mathbf{Q})$  is dense in  $X(\mathbf{A}_f) = X(\mathbf{A}^\infty)$  then  $\mathcal{X}(\mathbf{Z}) \rightarrow \mathcal{X}(\mathbf{Z}/N\mathbf{Z})$  is surjective for all  $N \geq 1$ . (Hint: equivalently,  $\mathcal{X}(\mathbf{Z})$  has dense image in  $\mathcal{X}(\widehat{\mathbf{Z}})$ !) In this sense, strong approximation vastly generalizes the Chinese Remainder Theorem. Deduce that  $\mathrm{PGL}_n$  violates strong approximation over  $\mathbf{Q}$ . (Can you generalize to any  $G$  that isn't simply connected?)

(iv) For an affine  $\mathbf{Z}$ -group scheme  $G$  of finite type and compact open subgroup  $K$  of  $G(\mathbf{A}_f)$ , prove that  $K \cap G(\mathbf{Q})$  is commensurable with  $\ker(G(\mathbf{Z}) \rightarrow G(\mathbf{Z}/N\mathbf{Z}))$  for some  $N \geq 1$ . Thus, compact open subgroups of  $G(\mathbf{A}_f)$  replace congruence subgroups when considering  $\mathbf{Q}$ -groups *without* a specified  $\mathbf{Z}$ -structure.

3. Let  $f : X \rightarrow M$  be a genus- $g$  curve, and  $T_{X/M}$  the relative tangent bundle  $(\Omega_{X/M}^1)^\vee$ .

(i) Prove that  $R^p f_*((\Omega_{X/M}^1)^{\otimes q})$  is a vector bundle (of what rank?) whose formation commutes with any base change on  $M$ , vanishing for  $p \geq 2$ . Also prove that the natural map  $\mathcal{F} \otimes_{\mathbf{C}} R^j f_*(\mathbf{C}) \rightarrow R^j f_*(f^{-1} \mathcal{F})$  is an isomorphism for any  $\mathbf{C}$ -module  $\mathcal{F}$  on  $M$ . (Hint: consider stalks, and use that cohomology commutes with direct limits on a compact Hausdorff space.) Prove  $R^2 f_*(\mathbf{C}) = \mathbf{C}$  canonically (no  $i$ -dependence!).

(ii) Prove that the complex of abelian sheaves  $0 \rightarrow f^{-1} \mathcal{O}_M \rightarrow \mathcal{O}_X \rightarrow \Omega_{X/M}^1 \rightarrow 0$  is exact, and deduce that the connecting map  $R^1 f_*(\Omega_{X/M}^1) \rightarrow R^2 f_*(f^{-1} \mathcal{O}_M) = \mathcal{O}_M \otimes_{\mathbf{C}} R^2 f_*(\mathbf{C}) = \mathcal{O}_M$  is an isomorphism. Negating this (for reasons you'll see in (iv)), prove  $R^1 f_*(T_{X/M})$  is naturally  $\mathcal{O}_M$ -dual to  $f_*((\Omega_{X/M}^1)^{\otimes 2})$ .

(iii) Dualizing  $0 \rightarrow f^* \Omega_M^1 \rightarrow \Omega_X^1 \rightarrow \Omega_{X/M}^1 \rightarrow 0$ , the connecting map  $\mathrm{KS}_{X/M} : T(M) = f_* f^*(T(M)) \rightarrow R^1 f_*(T_{X/M})$  is called the *Kodaira-Spencer* map. If you have experience with deformation theory and complex-analytic spaces, describe how the image of a tangent vector  $\vec{v}$  under the  $m$ -fiber map  $T_m(M) \rightarrow H^1(X_m, T(X_m))$  classifies the way  $X \rightarrow M$  yields a “first-order deformation” of  $X_m$  in the direction  $\vec{v}$ . (Thus, this measures the variation of complex structure on the fibers of  $f$ ; e.g., it vanishes when  $X = M \times X_0$ .)

(iv) Dualizing the Kodaira-Spencer map in (iii) and using (ii), obtain a map  $f_*((\Omega_{X/M}^1)^{\otimes 2}) \rightarrow \Omega_M^1$ . Prove that the natural map  $f_*((\Omega_{X/M}^1)^{\otimes 2}) \rightarrow f_*((\Omega_{X/M}^1)^{\otimes 2})$  is an isomorphism if and only if  $g = 1$ ; in particular, for elliptic curves  $E \rightarrow M$  the dual of the Kodaira-Spencer map takes the form  $\mathrm{KS}'_{E/M} : \omega_{E/M}^{\otimes 2} \rightarrow \Omega_M^1$ . (This is often called the “Kodaira-Spencer map”!) Prove that  $\mathrm{KS}'_{\mathcal{E}/(\mathbf{C}-\mathbf{R})} : (2\pi i_\tau dz)^{\otimes 2} \mapsto 2\pi i_\tau d\tau$ , and deduce that for the Tate curve  $\mathbf{E} \rightarrow \Delta^*$  from HW3 Exercise 2 we have  $(dw/w)^{\otimes 2} \mapsto dq/q$ . Note that these are *isomorphisms*; if you did (iii), prove this isomorphism property via nontriviality of first-order deformations.