### WHITTAKER MODELS AND MULTIPLICITY ONE

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## 1. Cuspidal automorphic representations

Let k be a global field and G a connected reductive group over k. Recall that the cuspidal  $L^2$ -spectrum of G decomposes discretely:

**Theorem 1.1** ([Howe1], [Howe2]). There is a decomposition

$$L^2_{\mathrm{cusp}}(G(k)\backslash G(\mathbf{A}_k),\omega)\cong\widehat{\bigoplus_i}V_i.$$

with the  $V_i$  being topologically irreducible, closed subrepresentations, with each isomorphism type appearing with finite multiplicity.

One of the main goals of this talk is to prove that for  $G = GL_2$ , each isomorphism type appears with multiplicity one.

**Theorem 1.2** (Multiplicity One for  $L^2$ ). Every irreducible component of  $L^2_{\text{cusp}}(\operatorname{GL}_2(k) \setminus \operatorname{GL}_2(\mathbf{A}_k), \omega)$  has multiplicity one, i.e. is not isomorphic to any other irreducible component.

We will approach this theorem by passing to the associated admissible automorphic representation. Recall that  $\mathcal{A}(G,\omega)$  denotes the space of *automorphic forms* on G, meaning smooth functions  $\phi \colon G(\mathbf{A}) \to \mathbf{C}$  such that:

- (1)  $\phi$  has central character  $\omega$ ,
- (2)  $\phi$  is right K-finite,
- (3)  $\phi$  is  $Z(U(\mathfrak{g}))$ -finite,
- (4)  $\phi$  has moderate growth at the cusps.

We let  $\mathcal{A}_{\text{cusp}}(G,\omega) := \mathcal{A}(G,\omega) \cap L^2_{\text{cusp}}(G(F)\backslash G(\mathbf{A}),\omega).$ 

**Theorem 1.3** ([Ngo] Theorem 5.4.4). Let  $\pi$  be an irreducible  $G(\mathbf{A})$ -invariant subspace of  $L^2(G(F)\backslash G(\mathbf{A}),\omega)$ . Then  $\pi\cap\mathcal{A}(G,\omega)$  is an irreducible admissible  $G(\mathbf{A})$ -representation.

**Remark 1.4.** Recall that to say that  $\pi \cap \mathcal{A}(G,\omega)$  is a " $G(\mathbf{A})$ -representation" is really an abuse of notation. Really it is a module over the global Hecke algebra, so it has an action of  $G(k_v)$  for every non-archimedean v and the structure of a  $(\mathfrak{g},K)$ -module at the archimedean places.

It is easy to deduce from this that  $\pi \mapsto \pi \cap \mathcal{A}(G,\omega)$  defines a bijection between irreducible summands of the Hilbert space  $L^2_{\text{cusp}}(\text{GL}_2(k) \setminus \text{GL}_2(\mathbf{A}_k),\omega)$  and irreducible summands of  $\mathcal{A}_{\text{cusp}}(G,\omega)$ , whose inverse is obtained by taking the closure. Theorem 1.2 then follows from:

**Theorem 1.5** (Multiplicity One). Let  $(\pi, V)$  and  $(\pi', V')$  be two irreducible admissible subrepresentations of  $\mathcal{A}_{\text{cusp}}(\text{GL}_2(k) \setminus \text{GL}_2(\mathbf{A}_k), \omega)$ . If  $\pi \cong \pi'$ , then V = V'.

We will in fact prove a stronger version of Theorem 4.1, namely that it is enough to have *local* isomorphisms at all but finitely many places. To formulate this, recall Flath's Theorem on decomposing irreducible admissible representations as a restricted tensor product:

**Theorem 1.6** (Flath). Let  $(\pi, V)$  be an irreducible admissible automorphic representation of  $G(\mathbf{A}_k)$ . Then we have

$$(\pi,V)\cong igotimes_v'(\pi_v,V_v)$$

for irreducible admissible representations  $(\pi_v, V_v)$  of  $G(k_v)$ .

**Theorem 1.7** (Strong Multiplicity One). Let  $(\pi, V)$  and  $(\pi', V')$  be two irreducible admissible subrepresentations of  $\mathcal{A}_0(\mathrm{GL}_2(k) \setminus \mathrm{GL}_2(\mathbf{A}_k), \omega)$ . Assume that  $\pi_v \cong \pi'_v$  for all but finitely many non-archimedean v. Then V = V'.

In this talk, we will only prove Theorem 1.7 under the hypothesis that  $\pi_v \cong \pi'_v$  at all archimedean places.

**Remark 1.8.** For almost all v the representation  $\pi_v$  will be unramified, hence described in a straightforward way from its Hecke eigenvalues.

**Remark 1.9.** These theorems remain true with  $GL_2$  replaced by  $GL_n$ . However, they fail in greater generality (e.g. for  $G = \operatorname{Sp}_{2g}$ ).

These notes are concerned with the proof of Theorem 1.7, and will proceed as follows.

- (1) We will begin by introducing the notion of the "Fourier expansion" of an automorphic form. We will find that the "coefficients" of the Fourier expansion define a certain "Whittaker functional", which is a concept that you already met a long time ago.
- (2) We will state a local uniqueness theorem for Whittaker functionals, and deduce a global uniqueness theorem.
- (3) We will conclude Theorem 4.1 from the uniqueness of global Whittaker functionals. We will indicate partial progress towards Theorem 4.1.
- (4) We will return to discuss the proof of the uniqueness of local Whittaker functionals in the non-archimedean case (the archimedean case having been handled already in [Tsai1]).

#### 2. The Fourier expansion of a cusp form

Let  $\pi$  be a cuspidal automorphic representation for  $GL_2$ . Fix  $g \in GL_2(\mathbf{A})$  and  $\varphi \in \pi$ , and consider the function

$$\varphi_g(x) := \varphi\left(\begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix}g\right) : k \backslash \mathbf{A}_k \to \mathbf{C}.$$

By Fourier analysis on locally compact abelian groups, noting that  $k \setminus \mathbf{A}_k$  is actually compact, we have

$$\varphi_g(x) = \sum_{\psi \in \widehat{\mathbf{A}} \setminus \widehat{\mathbf{A}}_k} \varphi_{N,\psi}(g)\psi(x), \tag{2.1}$$

where

$$\widehat{\varphi}_{N,\psi}(g) := \int_{k \backslash \mathbf{A}_h} \varphi\left(\begin{pmatrix} 1 & u \\ 0 & 1 \end{pmatrix} g\right) \psi(-u) du.$$

We can simplify this as follows. By fixing a basepoint  $\psi_0$ , we obtain an isomorphism  $\widehat{k \backslash \mathbf{A}_k} \cong k$  by identifying  $\lambda \in k$  with the character  $\psi_{\lambda} \colon x \mapsto \psi_0(\lambda x)$ . (A good analogy is that  $k \backslash \mathbf{A}_k \cong \mathbf{Z} \backslash \mathbf{R}$ .) In these terms, we have

$$\varphi_{N,\lambda\psi_0}(g) = \int_{k\backslash \mathbf{A}_k} \varphi\left(\begin{pmatrix} 1 & u \\ 0 & 1 \end{pmatrix} g\right) \psi_0(-\lambda u) du.$$

The cuspidality of  $\varphi$  implies that the "constant" Fourier coefficient  $\varphi_{N,1}$ , corresponding to the trivial character, vanishes. Therefore, we may restrict our attention to  $\lambda \neq 0$ . Then we may we implement a change of variables  $\lambda u \mapsto u$ . Since  $\lambda \in k$ , the product formula implies that this actually preserves the Haar measure. It takes the argument of  $\varphi$  to

$$\begin{pmatrix} 1 & u \\ 0 & 1 \end{pmatrix} \mapsto \begin{pmatrix} 1 & u\lambda^{-1} \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} \lambda^{-1} & \\ & 1 \end{pmatrix} \begin{pmatrix} 1 & u \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \lambda & \\ & 1 \end{pmatrix}.$$

Hence by left invariance of  $\varphi$ , we have

$$\varphi_{N,\lambda\psi_0}(g) = \int_{k \backslash \mathbf{A}_k} \varphi\left(\begin{pmatrix} 1 & u \\ 0 & 1 \end{pmatrix} g\right) \psi_0(-\lambda u) du = \int_{k \backslash \mathbf{A}_k} \varphi\left(\begin{pmatrix} 1 & u \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \lambda & \\ & 1 \end{pmatrix} g\right) \psi_0(-u) du.$$

Setting x = 0 in (2.1), we have proved:

**Theorem 2.1.** For a cuspidal automorphic form  $\varphi$ , we have the Fourier expansion

$$\varphi(g) = \sum_{\lambda \in k^{\times}} \int_{k \backslash \mathbf{A}_{k}} \varphi\left(\begin{pmatrix} 1 & u \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \lambda & \\ & 1 \end{pmatrix} g\right) \psi_{0}(-u) du.$$

**Remark 2.2.** What happens in general? We used a special feature of  $GL_2$  here, namely that the unipotent radical N of a Borel is *abelian*. We used this in applying Fourier analysis, which only detects functions on abelian groups. In general, you might expect that the Fourier coefficients of  $\varphi$  only carry information about the abelianization of N.

However, for  $GL_n$  this can be salvaged using the *mirabolic subgroup* 

$$P_n = \begin{pmatrix} \boxed{\operatorname{GL}_{n-1}} & * \\ 0 & 1 \end{pmatrix} \subset \operatorname{GL}_n,$$

which can be viewed as the stabilizer of a vector in the standard representation. We inductively prove that

$$f(g) = \sum_{\gamma \in N_{n-1}(\mathbf{Q}) \backslash \operatorname{GL}_{n-1}(\mathbf{Q})} f_{N,\psi_0} \left( \begin{pmatrix} \gamma & 0 \\ 0 & 1 \end{pmatrix} g \right).$$

Note that  $P_n = GL_{n-1} \times U_n$ . By Fourier analysis on  $U_n$ , we have

$$f(g) = \sum_{\gamma \in P_{n-1}(\mathbf{Q}) \backslash \operatorname{GL}_{n-1}(\mathbf{Q})} f_{U_n, \psi_0}(\gamma g).$$
 (2.2)

Now, viewing  $\gamma \mapsto f_{U_n,\psi_0}(\gamma g)$  as a function on  $GL_{n-1}(\mathbf{A})$ , we can apply the induction hypothesis to conclude that

$$f_{U_n,\psi_0}(\gamma g) = \sum_{\eta \in N_{n-2}(\mathbf{Q}) \backslash \operatorname{GL}_{n-2}(\mathbf{Q})} (f_{U_n,\psi_0})_{N_{n-1},\psi_0}(\eta \gamma g).$$
 (2.3)

Combining (2.2) and (2.3), we find that

$$f(g) = \sum_{\gamma \in P_{n-1}(k) \backslash \operatorname{GL}_{n-1}(k)} \sum_{\eta \in N_{n-2}(k) \backslash \operatorname{GL}_{n-2}(k)} \int_{[U_n] \times [N_{n-1}]} f(u\eta\gamma g) \psi_0(-u) du$$
$$= \sum_{\gamma \in N_{n-1}(k) \backslash \operatorname{GL}_{n-1}(k)} \int_{N_n} f(u\gamma g) \psi_0(-u) du$$

Let's summarize what has happened in this section. We have associated to  $\varphi \in \pi$  and  $g \in G(\mathbf{A})$  a "Fourier coefficient"

$$\varphi_{N,\lambda\psi_0}(g) := \int_{k\backslash \mathbf{A}_k} \varphi\left(\begin{pmatrix} 1 & u \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \lambda & \\ & 1 \end{pmatrix} g\right) \psi_0(-u) du.$$

Focus on the case  $\lambda=1$ . We can view  $\varphi\mapsto \varphi_{N,\psi_0}$  as a map  $V\to \operatorname{Fun}(G(\mathbf{A}),\mathbf{C})$  which has the property that replacing g by  $\begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix}g$  transforms it as

$$\varphi_{N,\psi_0}\left(\begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix}g\right) = \int_{k\backslash \mathbf{A}_k} \varphi\left(\begin{pmatrix} 1 & u \\ 0 & 1 \end{pmatrix}\begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix}g\right) \psi_0(-u) du$$

$$= \int_{k\backslash \mathbf{A}_k} \varphi\left(\begin{pmatrix} 1 & x+u \\ 0 & 1 \end{pmatrix}g\right) \psi_0(-x-u) \psi_0(x) du$$

$$= \psi_0(x) \varphi_{N,\psi_0}(g)$$

In other words, we obtain a map

$$V \to \operatorname{Fun}_{N(\mathbf{A})}(G(\mathbf{A}), (\mathbf{C}, \psi_0)).$$

**Definition 2.3.** Let  $\psi$  be a nondegenerate character of  $N(\mathbf{A})$ . A (global) Whittaker model for V is a subrepresentation of  $\operatorname{Fun}_{N(\mathbf{A})}(G(\mathbf{A}), (\mathbf{C}, \psi))$  which is isomorphic to V, consisting of functions f with moderate growth, i.e. such that

$$f\left(\begin{pmatrix} x & 0\\ 0 & 1\end{pmatrix}\right) = O(|x|^{-T})$$
 for all  $T$ .

Note that this definition forces the Whittaker model to also have central character  $\omega$ .

To check that  $\varphi \mapsto \varphi_{N,\psi}$  defines a Whittaker model, it only remains to explain why  $\varphi_{N,\psi}$  has moderate growth. But since  $\varphi_{N,\psi}$  is obtained from  $\varphi$  by integrating against a character over a compact set, this follows immediately from the fact that  $\varphi$  has moderate (even rapid, since we assumed cuspidality) growth.

Corollary 2.4 (Global existence of Whittaker models). A cuspidal automorphic representation of  $GL_n(\mathbf{A})$  has a Whittaker model.

**Remark 2.5.** We emphasize again that this fact is specific to  $GL_n$ .

**Remark 2.6.** Note that by Frobenius reciprocity, a Whittaker model induces an  $N(\mathbf{A})$ -equivariant map  $V \to (\mathbf{C}, \psi)$ .

### 3. Uniqueness of Whittaker models

3.1. Non-archimedean case. We'll recall some results stated earlier, and defer their proofs to later. In this section K is a non-archimedean local field. Fix a non-trivial additive character  $\psi$  of K, and view it as a character of N(K) in the obvious way. We will choose a model for the induced representation  $\operatorname{Ind}_{N(K)}^{G(K)} \psi$ :

$$\operatorname{Ind}_{N(K)}^{G(K)} \psi = \{ f \in C^{\infty}(G(K), \mathbf{C}) \mid f(ng) = \psi(n)g \}.$$

**Definition 3.1.** Let  $(\pi, V)$  be an irreducible admissible representation of G(K). A (local) Whittaker model for  $\pi$  is subspace of  $\operatorname{Ind}_{N(K)}^{G(K)} \psi$  which is isomorphic to  $\pi$ .

Remark 3.2. A Whittaker model is the same as a non-zero map in

$$\operatorname{Hom}_{G(K)}(V, \operatorname{Ind}_{N(K)}^{G(K)} \psi) = \operatorname{Hom}_{N(K)}(V, \psi)$$

by Frobenius reciprocity. An element of the latter space is called a Whittaker functional.

**Theorem 3.3** (Local uniqueness of Whittaker models). Let  $(\pi, V)$  be an irreducible admissible representation of G(K). Then  $(\pi, V)$  has at most one (local) Whittaker model, i.e. any two Whittaker functionals on V are proportional.

Remark 3.4. This result holds in great generality (i.e. whenever it makes sense).

**Definition 3.5.** Let  $(\pi, V)$  be an irreducible admissible representation of G(K). We say that  $(\pi, V)$  is generic if it admits a local Whittaker model.

3.2. Archimedean case. In the archimedean case, it is necessary to pose some growth conditions. Let  $(\pi, V)$  be an irreducible admissible representation of  $\mathcal{H}_G(K)$ .

**Definition 3.6.** A Whittaker model is a space of functions

$$\{W_{\xi}\colon G(K)\to \mathbf{C}\colon \xi\in V\}$$

such that

- (1)  $W_{\xi} \begin{pmatrix} 1 & x \\ & 1 \end{pmatrix} g = \psi(x) W_{\xi}(g),$
- (2) Each  $W_{\xi}$  is  $C^{\infty}$  and  $W_{\pi(X)\xi} = W_{\xi} * \check{X}$ . (3) For each  $\xi \in V$ , there exists T such that

$$W_{\xi} \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} = O(|a|^T) \text{ as } |a| \to \infty.$$

**Theorem 3.7** (Local uniqueness of Whittaker models). Let  $(\pi, V)$  be an irreducible admissible representation of G(K). Then  $(\pi, V)$  has at most one (local) Whittaker model, i.e. any two Whittaker functionals on V are proportional.

*Proof.* The proof was covered in [Tsai1].

3.3. Global uniqueness. Using local uniqueness for Whittaker models, we can deduce global uniqueness.

**Theorem 3.8** (Global uniqueness for Whittaker models). Let  $(\pi, V)$  be an irreducible cuspidal automorphic representation of  $GL_2$ . If  $(\pi, V)$  has a Whittaker model, then it is unique.

*Proof.* Let W be a Whittaker model for  $(\pi, V)$ , so W is generated by  $W_{\xi}$  for  $\xi \in V$ . With respect to a decomposition  $V \cong \bigotimes' V_v$ , we may assume that there exists a pure tensor  $\xi^0 = (\otimes \xi_v^0)$  such that  $W_{\xi^0}(e) \neq 0$  since  $W_{\xi^0}(g) = W_{g \cdot \xi^0}(e)$ . (This requires a bit of care at the Archimedean places, since there we do not get an action of  $G(k_{\infty})$ .)

For  $\xi_S = (\xi_v)_{v \in S} \in \bigotimes_{v \in S} V_v$  define  $\iota_S(\xi_S) = (\xi_v) \otimes (\xi_{w \neq v}^0) \in V$ . Similarly, for  $g_S = (g_v)_{v \in S} \in \prod_{v \in S} G(k_v)$  define  $\iota_S(g_S) \in G(\mathbf{A}_k)$ .

Define a representation  $W_v$  of  $G(k_v)$  which is generated by  $W_{\xi_v}(g_v) := W_{\iota(\xi_v)}(\iota_v(g_v))$  as  $\xi_v$  ranges over  $V_v$ . This is easily checked to be a Whittaker model for  $V_v$ . By our normalization, we have  $W_{\xi_v^0}(1) = 1$ . Then the restricted tensor product  $\otimes' W_v$  is defined (with respect to the  $W_{\xi_v^0}(1)$ ), and we claim that

$$\prod W_{\xi_v^0}(g_v) = W_{\xi^0}(g). \tag{3.1}$$

We first prove the claim for all  $g \in GL_2(\mathbf{A}_{k,S})$  by induction on |S|. Picking  $w \in S$  and fixing  $\xi_v^0, g_v$  for  $v \notin S$ , both sides define Whittaker models for  $V_w$  (as  $\xi_w$  varies and the result is viewed as a function of  $g_w$ ), hence the functions in question are proportional. The constant of proportionality can be computed by taking  $g_w = e$ , where we find that it is  $\prod_{v \neq w} W_{\xi_v^0}(g_v)$  by the induction hypothesis. This completes the case where  $g \in G(\mathbf{A}_{k,S})$  for some finite set S.

Now a general  $(g_v) \in G(\mathbf{A}_k)$  has the property that  $g_v \xi_v^0 = \xi_v^0$  for all but finitely many v, so we may replace  $g_v$  by e to reduce to the case already proven; the claim (3.1) follows.

We conclude that the vector  $W_{\xi^0}$  (which is obviously non-zero since it evaluates to 1 on e) is common to W and  $\bigotimes' W_v$  within  $\operatorname{Ind}_{N(\mathbf{A})}^{G(\mathbf{A})} \psi$ . Hence these two (irreducible!) representations must coincide for any Whittaker model W. But the  $W_v$  were unique by local uniqueness, proving the result.

#### 4. Multiplicity One

We first prove a weaker version where we demand an isomorphism at all local places.

**Theorem 4.1** (Weak Multiplicity One). Let  $(\pi, V)$  and  $(\pi', V')$  be two irreducible admissible subrepresentations of  $\mathcal{A}_0(\mathrm{GL}_2(k)\backslash \mathrm{GL}_2(\mathbf{A}_k), \omega)$ . Assume that  $\pi_v \cong \pi'_v$  for all v. Then V = V'.

*Proof.* As discussed in §2, the Fourier coefficient corresponding to  $\psi$  furnishes non-vanishing Whittaker models on V and V'. By Theorem 3.8 these Whittaker models coincide; call the common Whittaker model W.

The assumption gives an abstract isomorphism  $\theta \colon V \to V'$ . Let  $\varphi \in V$  and  $\varphi' = \theta(\varphi)$ . We have the diagram (by uniqueness of Whittaker models)

$$V \xrightarrow{\varphi} V \xrightarrow{\sim} V'$$

$$\varphi \mapsto \varphi_{N,\psi} \xrightarrow{\sim} V'$$

$$W$$

By irreducibility of W the images of  $\varphi$  and  $\varphi'$  in W must be proportional, so the Fourier expansions are proportional. Hence we find that every  $\varphi \in V$  lies in V' and vice versa, so they are the same space.

To proceed to the proof of the *strong* Multiplicity One theorem, we now assume that  $\pi_v \cong \pi'_v$  for all v outside a finite set S of places, excluding all the archimedean places.

**Remark 4.2.** The assumption on Archimedean places is unnecessary. After we develop the theory of *L*-functions attached to automorphic representations, we'll be able to give a proof of the full strong Multiplicity One Theorem.

It will suffice to produce a single non-zero function common to V and V', which we will do by writing a Fourier expansion. Since  $\pi_v \cong \pi'_v$  outside S, they have the same Whittaker model  $W_v$  and we pick a function  $f_v \in W_v$  which is required to be the spherical function normalized to value 1 on  $K_v$  if  $W_v$  is spherical.

For the  $v \in S$ , we have  $W_v, W'_v \supset C_c^{\infty}(k_v^{\times})$  by the theory of the Kirillov model. Therefore, we can choose  $f_v, f'_v$  to agree on  $\binom{k_v^{\times}}{1}$ . Set  $f = \bigotimes f_v, f' = \bigotimes f'_v$ . Then we have the Fourier series

$$\varphi(g) = \sum_{a \in k^{\times}} f\left(\begin{pmatrix} a & 0\\ 0 & 1 \end{pmatrix} g\right)$$
$$\varphi'(g) = \sum_{a \in k^{\times}} f'\left(\begin{pmatrix} a & 0\\ 0 & 1 \end{pmatrix} g\right)$$

By construction,  $\varphi$  and  $\varphi'$  agree on  $G(k)G(k_{\infty})B(\mathbf{A})K$ , so it will suffice to see that

$$G(k)G(k_{\infty})B(\mathbf{A})K = G(\mathbf{A}). \tag{4.1}$$

We'll deduce this from Strong Approximation, which implies that  $\operatorname{SL}_n(k) \operatorname{SL}_n(k_\infty)$  is dense in  $\operatorname{SL}_n(\mathbf{A}_k)$ , hence in turn that  $\operatorname{SL}_n(k) \operatorname{SL}_n(k_\infty) U = \operatorname{SL}_n(\mathbf{A}_k)$  for any open compact subgroup  $U \subset \operatorname{SL}_n(\mathbf{A}_k)$ . Consider the short exact sequence

$$1 \to \operatorname{SL}_n(\mathbf{A}) \to \operatorname{GL}_n(\mathbf{A}) \to \mathbf{A}^{\times} \to 1.$$

Strong approximation implies that  $G(k)G(k_{\infty})B(\mathbf{A})K \supset \mathrm{SL}_n(A)$ . On the other hand,  $B(\mathbf{A})$  surjects onto  $\mathbf{A}^{\times}$ , so we deduce (4.1).

**Exercise 4.3.** Formulate and prove an analogous statement over global function fields. If you are familiar with Weil's interpretation of adeles in terms of  $\operatorname{Bun}_G$ , give a direct *geometric* proof that

$$B(k)\backslash B(\mathbf{A})/U\cap B(\mathbf{A})\xrightarrow{\sim} B(k)\backslash G(\mathbf{A})/U$$

which implies (4.1).

### 5. Proof of uniqueness of local Whittaker models

5.1. Toy case: finite fields. Let's momentarily consider the toy case of finite fields. We want to argue that every representation in  $\operatorname{Ind}_{N(\mathbf{F}_q)}^{G(\mathbf{F}_q)} \psi$  shows up with multiplicity one. By an elementary exercise (which appeared on a recent qualifying exam!), this is equivalent to showing that

$$\operatorname{Hom}_{G(\mathbf{F}_q)}(\operatorname{Ind}_{N(\mathbf{F}_a)}^{G(\mathbf{F}_q)}\psi,\operatorname{Ind}_{N(\mathbf{F}_a)}^{G(\mathbf{F}_q)}\psi)$$

is commutative. By Mackey Theory, this coincides with the Hecke algebra

$$\mathbf{C}[N, \psi \backslash G/N, \psi] = \{\Delta \colon G \to \mathbf{C} \colon \Delta(nqn') = \psi(n)\Delta(q)\psi(n')\}.$$

So we need to prove that  $\mathbb{C}[N, \psi \backslash G/N, \psi]$  is commutative.

Let's write down double coset representatives for  $N\backslash G/N$ . We know from Bruhat decomposition that

$$B \backslash G / B = \begin{pmatrix} 1 & \\ & 1 \end{pmatrix} \cup \begin{pmatrix} & 1 \\ 1 & \end{pmatrix}.$$

Therefore

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$$N\backslash G/N = \bigcup_{a,b\in \mathbf{F}_q^\times} \begin{pmatrix} a & \\ & b \end{pmatrix} \cup \bigcup_{a,b\in \mathbf{F}_q^\times} \begin{pmatrix} & b \\ a & \end{pmatrix}.$$

We seek functions  $\Delta \colon G \to \mathbf{C}$  such that

$$\Delta(ngn') = \psi(n)\Delta(g)\psi(n').$$

We can define  $\Delta$  separately on each double coset by specifying its value on a representative, but the condition of being well-defined is that  $\Delta$  annihilate the stabilizer.

It is easy to check by hand that

$$\operatorname{Stab}_{N\times N}\left(\begin{pmatrix} a \\ b \end{pmatrix}\right) = \left\{\begin{pmatrix} 1 & x \\ 1 \end{pmatrix}, \begin{pmatrix} 1 & y \\ 1 \end{pmatrix} : ax = by\right\}.$$

If  $a \neq b$ , then  $x \neq y$  so that  $\psi$  is forced to annihilate such a double coset.

**Exercise 5.1.** Show more abstractly that the stabilizer in  $N \times N$  of NgN is  $N \cap g^{-1}Ng$ . Use this to give a proof of the above fact without doing any computations with  $2 \times 2$ -matrices.

Now, to show commutativity we will use Gelfand's trick: we will write down an anti-involution that preserves the space in question. Indeed, let  $w_0 = \binom{1}{1}$  and define the anti-involution

$$\iota(g) = w_0 g^t w_0^{-1}.$$

By inspection,  $\iota$  preserves N and the double cosets that have not been ruled out.

Exercise 5.2. Why doesn't this proof work over local fields?

### 5.2. Proof for non-archimedean local fields. We'll take as our starting point:

**Proposition 5.3.** Let V be an admissible irreducible representation. If V admits a Whittaker model then so does its contragredient  $\widetilde{V}$ .

*Proof.* This follows from a theorem of Gelfand and Kazdhan; see [Ngo] Proposition 4.6.2.  $\Box$ 

Recall the Hecke algebra  $\mathcal{H}_G(K) = C_c^{\infty}(G(K))$ . We have actions  $\ell_g$  and  $r_g$  on  $\mathcal{H}_G(K)$ , given by  $\ell_g f(x) = f(g^{-1}x)$  and  $r_g f(x) = f(xg)$ .

**Theorem 5.4** (Invariance of Bessel distributions, [Bump] Theorem 4.4.2). Let

$$\Delta \colon \mathcal{H}(\mathrm{GL}_2(k_v)) \to \mathbf{C}$$

be a distribution such that

$$\Delta(\ell_n r_{n'} f) = \psi(n) \Delta(f) \psi(n').$$

Then  $\Delta$  is preserved by  $\iota$ .

**Theorem 5.5.** Let V be an irreducible admissible representation of  $GL_2(k_v)$  and  $\widetilde{V}$  its contragredient representation. Then

$$\dim \operatorname{Hom}_N(V, (\mathbf{C}, \psi)) \cdot \dim \operatorname{Hom}_N(\widetilde{V}, (\mathbf{C}, \psi)) \leq 1.$$

*Proof.* Let  $\lambda^{\vee} \colon V \to (\mathbf{C}, \psi)$  be a non-zero Whittaker functional and  $\lambda \colon \widetilde{V} \to (\mathbf{C}, \psi)$  a non-zero Whittaker functional. We will show that  $\lambda^{\vee}$  determines  $\lambda$ , and vice versa.

If  $\lambda$  and  $\lambda^{\vee}$  were smooth then we would have a Bessel distribution

$$g \mapsto \langle \lambda, \widetilde{\pi}(g) \lambda^{\vee} \rangle$$

to which we could apply Theorem 5.4. However, there is no reason that this should be the case, so we will have to work a bit harder. What we do get from  $\lambda$  and  $\lambda^{\vee}$  are G-equivariant maps

$$\phi_{\lambda^{\vee}} \colon \mathcal{H}(G) \to \widetilde{V}$$

$$f \mapsto \int_{G} f(h)(h^{-1} \cdot \ell^{\vee}) \, dh$$

$$(5.1)$$

and

$$\phi_{\lambda} \colon \mathcal{H}(G) \to V$$
 (5.2)
$$f \mapsto \int_{C} f(h)(h^{-1} \cdot \ell) \, dh.$$

From the explicit formulas we easily check that

$$\begin{split} \phi_{\lambda^{\vee}}(r_g \cdot f) &= g \cdot \phi_{\lambda^{\vee}}(f) \\ \phi_{\lambda^{\vee}}(\ell_n \cdot f) &= \psi(n)\phi_{\lambda^{\vee}}(f) \\ \phi_{\lambda}(r_g \cdot f) &= g \cdot \phi_{\lambda}(f) \\ \phi_{\lambda}(\ell_n \cdot f) &= \psi(n)\phi_{\lambda}(f) \end{split}$$

The pairing  $\widetilde{V} \otimes V \to \mathbf{C}$  then pulls back to a pairing

$$\mathcal{H}(G) \otimes \mathcal{H}(G) \to \widetilde{V} \otimes V \to \mathbf{C}.$$

The map  $G \times G \to G$  given by  $(g_1, g_2) \mapsto g_1^{-1} g_2$  induces a map

$$\mathcal{H}(G) \otimes \mathcal{H}(G) \to \mathcal{H}(G)$$

by integrating over the fibers, which are orbits for the diagonal G action by  $(r_g, r_g)$ . So the G-equivariance implies that this descends to a map

$$\mathcal{H}(G) \otimes \mathcal{H}(G) \longrightarrow \widetilde{V} \otimes V \xrightarrow{} \mathbf{C}$$

$$\downarrow \qquad \qquad \qquad \downarrow$$

$$\mathcal{H}(G)$$

such that  $\theta(ngn') = \psi(n)\theta(g)\psi(n')$ . Hence Theorem 5.4 implies that

$$\langle f_1, f_2 \rangle = \theta(f_1 * f_2) = \theta(\iota(f_2) * \iota(f_1)) = \langle \iota(f_2), \iota(f_1) \rangle. \tag{5.3}$$

Since the pairing between V and  $\widetilde{V}$  is perfect, (5.3) shows that if  $f_2$  is in the kernel of (5.2) (i.e. (5.3) vanishes for all  $f_1$ ) then  $\iota(f_2)$  is in the kernel of (5.1). In other words, (5.1) determines the kernel of (5.2), hence the map (5.2) up to scalar (by irreducibility), and vice versa. Since  $\lambda^{\vee}$  determined (5.1) and  $\lambda$  determined (5.2), this shows that  $\lambda$  pins down  $\lambda^{\vee}$  and vice versa.

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