

# AUTOMORPHIC FORMS ON QUATERNION ALGEBRAS

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Let  $F$  be a totally real number field and let  $D$  be a quaternion algebra over  $F$ . Define an algebraic group  $G$  over  $F$  by  $G(A) = (A \otimes_F D)^\times$  for an  $F$ -algebra  $A$ . It is easy to see that if  $F'/F$  splits  $D$  then  $G_{F'}$  is isomorphic to  $\mathrm{GL}(2)$ . Thus  $G$  is a reductive algebraic group. We therefore have a theory of automorphic forms and representations for  $G$ . We will look at the basics of this theory in these notes.

To begin with, let us examine the spaces on which automorphic forms are functions. Let  $f$  be an automorphic form on  $G(\mathbf{A}_F)$ . By definition,  $f$  is a function  $G(\mathbf{A}_F) \rightarrow \mathbf{C}$  subject to the following:

- $f$  is left invariant under  $G(F)$ .
- $f$  is invariant under a compact open subgroup  $U$  of  $G(\mathbf{A}_{F,f})$ .
- $f$  is finite under translations by a maximal compact subgroup  $K$  of  $G(F \otimes \mathbf{R})$ .
- $f$  is finite under the center of the universal enveloping algebra of  $G(F \otimes \mathbf{R})$ .
- $f$  satisfies certain continuity and growth conditions.

For simplicity, let us consider the case where  $f$  is invariant under the center  $Z$  of  $G(\mathbf{A}_F)$  and transforms under  $K$  by a one dimensional representation  $\sigma$ , i.e.,  $f(gk) = \sigma(k)f(g)$  holds. Then  $f$  defines a section of a line bundle determined by  $\sigma$  on the space

$$X(U) = G(F) \backslash G(\mathbf{A}_F) / ZKU.$$

Our first task is to describe this space.

The most important thing to initially consider about  $X(U)$  is the contributions of the infinite places. At an infinite place  $v$  the division algebra  $D$  has two possible behaviors: it can either split or not. In either case,  $D_v^\times$  is a four dimensional real Lie group. If  $D_v$  is split then  $G_v$  looks like  $\mathrm{GL}_2(\mathbf{R})$  and so its maximal compact is the two dimensional orthogonal group. We thus find that  $G_v/K_vZ_v$  is a copy of the upper half plane. In particular, it is a one dimensional complex manifold. If  $D_v$  is non-split then  $G_v$  is the multiplicative group in the Hamilton quaternions. This group is an extension of the rank 2 unitary group by  $\mathbf{R}_+$ . Thus  $G_v = Z_vK_v$  and so the quotient  $G_v/Z_vK_v$  is a point. We therefore find

$$G(F \otimes \mathbf{R}) / Z_\infty K = \mathfrak{h}^n$$

where  $n$  is the number of infinite places at which  $D$  is split. This computation is significant for two reasons. First, we see that the quotient is canonically a complex manifold, so we can make sense of holomorphic functions on it. And secondly, if  $n = 0$ , that is, if  $D$  is non-split at all infinite places, then this space is just a point.

Consider the case  $n > 0$ . Since  $G(F \otimes \mathbf{R})$  is non-compact, strong approximation gives

$$G(\mathbf{A}_F) = G(F)G(F \otimes \mathbf{R})U$$

and so the usual computation show that

$$X(U) = \Gamma(U) \backslash \mathfrak{h}^n$$

where  $\Gamma(U)$  is the arithmetic group obtained from intersecting  $G(F)$  and  $U$ . (This assumes something about  $U$ : the norm map  $U \rightarrow \prod \mathbf{G}_m(\mathcal{O}_{F,v})$  is surjective. For a general  $U$ ,  $X(U)$  will not be connected but will have finitely many connected components, each of the above form.) Thus  $X(U)$  is a complex manifold obtained as the quotient of  $n$  copies of the upper half plane by the action of a totally discontinuous subgroup. When  $n = 1$  (and  $F \neq \mathbf{Q}$ ) these spaces are called *Shimura curves*. In contrast to the modular curves, they are compact — no cusps need to be added to obtain a compact space.

Now consider the case  $n = 0$ . Strong approximation no longer applies to  $G(F \otimes \mathbf{R})$  since this group is compact. However, we do not really need to use strong approximation. Since  $KZ$  contains all of  $G(F \otimes \mathbf{R})$

we can completely ignore the infinite places. We find

$$X(U) = G(F) \backslash G(\mathbf{A}_{F,f}) / Z_f U.$$

Since  $U$  is a compact open subgroup of  $G(\mathbf{A}_{F,f})$ , this quotient is discrete. In fact, it is a finite set; this follows from it being discrete and having finite volume. Thus in this case, automorphic forms on  $D$  are simply functions on a finite set. There are therefore no continuity or analytic conditions placed on the forms — they are just functions on a finite set!

We did not consider the most general possible set-up. One does not need to assume  $Z$ -invariance: one can allow  $f$  to transform under  $Z$  by a character. Also, the representation  $\sigma$  of  $K$ , which plays the role of the weight, does not have to be one dimensional. (One must then take  $f$  to be vector valued.) The rank two unitary group has irreducible representations of all dimensions, so when  $D$  is non-split at infinite places one might want to consider such representations of  $K$ .

We now change directions and consider automorphic representations of  $G(\mathbf{A}_F)$ . Such a representation decomposes as a tensor product  $\otimes \pi_v$  over the places of  $F$ , where  $\pi_v$  is an irreducible admissible representation of  $G_v$ . For almost all places,  $D_v$  is split, and so  $G_v$  is isomorphic to  $\mathrm{GL}_2(F_v)$ . The local invariants (such as conductor,  $L$ -series and  $\epsilon$ -factors) at these places are defined as usual. We now consider a place  $v$  at which  $D_v$  is non-split. The group  $G_v$  is compact modulo its center. This implies that the representation  $\pi_v$  is finite dimensional. The maximal compact subgroup  $K_v$  of  $G_v$  has a natural filtration  $K_v^{(n)}$  obtained by looking at the group of elements congruent to 1 modulo powers of the maximal ideal. There is a unique minimal  $n$  such that  $\pi_v$  contains  $K_v^{(n)}$  in its kernel. The number  $n + 1$  is called the *conductor* of  $\pi_v$  (or perhaps the exponent of the conductor). The prime power  $\mathfrak{p}_v^{n+1}$  is the local contribution of  $\pi_v$  to the level. Note that this exponent is never 0 — even when  $\pi_v$  contains the full maximal compact subgroup  $K_v$  in its kernel the conductor is 1. The reason for this will be evident later — suffice it to say for now that the Galois representations coming from modular forms on a quaternion algebra are always ramified where the quaternion algebra is, so these places should appear in the conductor.

We can additionally attach local  $L$ -functions and  $\epsilon$ -factors to  $\pi_v$ . This goes quite similarly to the  $\mathrm{GL}(1)$  case (Tate's thesis). For a Schwartz function  $\phi$  on  $D_v$  one considers the integral

$$Z(s, \phi, \pi_v) = \int_{D_v} \phi(x) \mathrm{tr} \pi_v(x) |x|^{s+\dots} dx.$$

The ellipses in the exponent is a normalizing factor, which is not important for the present discussion. One then finds that there is a unique Euler factor  $L(s, \pi_v)$  such that the quotient

$$\frac{Z(s, \phi, \pi_v)}{L(s, \pi_v)}$$

is an entire function of  $s$  and for some choice of  $\phi$  is equal to 1. Furthermore, there is a functional equation

$$\frac{Z(1-s, \hat{\phi}, \pi_v^\vee)}{L(1-s, \pi_v^\vee)} = \epsilon(s, \pi_v, \psi_v) \frac{Z(s, \phi, \pi_v)}{L(s, \pi_v)}.$$

Here  $\psi_v$  is a non-trivial additive character of  $F_v$ ,  $\hat{\phi}$  denotes the Fourier transform of  $\phi$  with respect to  $\psi_v$  and  $\pi_v^\vee$  denotes the contragredient of  $\pi_v$ . The factor  $\epsilon(s, \pi_v, \psi_v)$  is of the form  $s \mapsto ab^s$ . The base  $b$  of this exponential is equal (or almost equal) to the conductor of  $\pi_v$ .