

The image of complex conjugation in  $l$ -adic  
representations associated to automorphic  
forms.

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# Introduction

Let  $F^+$  denote a totally real number field and fix an isomorphism  $\iota : \overline{\mathbb{Q}}_l \xrightarrow{\sim} \mathbb{C}$ . It is known that to a regular, algebraic, essentially self-dual, cuspidal automorphic representation  $\Pi$  of  $GL_n(\mathbb{A}_{F^+})$  one can associate a continuous semi-simple Galois representation

$$r_{l,\iota}(\Pi) : \text{Gal}(\overline{F^+}/F^+) \longrightarrow GL_n(\overline{\mathbb{Q}}_l).$$

(For the definition of “regular, algebraic, essentially self-dual, cuspidal” see the start of section 1.) This representation is known to be de Rham and its Hodge-Tate numbers are known. (They can be simply calculated from the infinitesimal character of  $\pi_\infty$ .) For all but finitely many places  $v$  of  $F^+$  not dividing  $l$  one can calculate the Frobenius semi-simplification of the restriction of  $r_{l,\iota}(\Pi)$  to a decomposition group above  $v$  in terms of  $\pi_v$  via the local Langlands correspondence. This uniquely (in fact, over) determines  $r_{l,\iota}(\Pi)$ . If  $n$  is odd; or if  $n$  is even and the weight of  $\pi_\infty$  is slightly regular in the sense of [Sh]; or if  $n$  is even and  $\pi$  is discrete series at some finite place; then one can do this at all finite places  $v \nmid l$  of  $F^+$ . (See [Sh] and/or [CHLN].) The representation  $r_{l,\iota}(\Pi)$  is conjectured to be irreducible. This is known if  $\Pi$  is discrete series at some finite place. (See [TY].)

Frank Calegari raised the question as to whether, for an infinite place  $v$  of  $F^+$  one can calculate the conjugacy class of  $r_{l,\iota}(\Pi)(c_v)$ , where  $c_v \in \text{Gal}(\overline{F^+}/F^+)$  is a complex conjugation for  $v$ . This conjugacy class has order two, so it is semi-simple with eigenvalues  $\pm 1$ . The problem is to determine how many  $+1$ 's and how many  $-1$ 's occur. Because  $\Pi$  was assumed to be regular, we expect that the number of  $+1$ 's and  $-1$ 's differ by at most one, i.e.

$$|\text{tr } r_{l,\iota}(\Pi)(c_v)| \leq 1.$$

As we know the determinant of  $r_{l,\iota}(\Pi)$  this would completely determine the conjugacy class of  $r_{l,\iota}(\Pi)(c_v)$ .

In this paper we will prove this conjecture in the case  $n$  is odd:

**Proposition A** *Suppose that  $F^+$  is a totally real field, that  $n$  is an odd positive integer and that  $\Pi$  a regular, algebraic, essentially self-dual, cuspidal automorphic representation of  $GL_n(\mathbb{A}_{F^+})$ . Suppose also that  $r_{l,\iota}(\Pi)$  is irreducible. If  $c \in \text{Gal}(\overline{F^+}/F^+)$  is a complex conjugation (for some embedding  $\overline{F^+} \hookrightarrow \mathbb{C}$ ) then*

$$|\text{tr } r_{l,\iota}(\Pi)(c)| \leq 1.$$

We believe that essentially the same method works if  $n$  is even and  $\Pi$  is discrete series at a finite place, though we haven't taken the trouble to write the argument down in this case. (One would work with the construction of  $r_{l,i}(\Pi)$  given in [HT] rather than that given in [Sh].) However we do not see how to treat the general case when  $n$  is even. When  $r_{l,i}(\Pi)$  is reducible one can calculate the trace of  $r(c)$  for some representation  $r$  of  $\text{Gal}(\overline{F}^+/F^+)$  with the same restriction to  $\text{Gal}(\overline{F}^+/F)$ , but this does not seem to be very helpful.

The construction of  $r_{l,i}(\Pi)$  is via piecing together twists of representations of  $\text{Gal}(\overline{F}^+/F)$  which arise in the cohomology of unitary group Shimura varieties, as  $F$  runs over certain imaginary CM fields. For none of these twisted restrictions does complex conjugation make sense. For an infinite place of  $F$  one can assign a natural sign to the representations of  $\text{Gal}(\overline{F}^+/F)$  that arise in the cohomology of these Shimura varieties, because they are essentially conjugate self-dual. (See [CHT] or [BC].) As Calegari has stressed this sign is not related to the image of complex conjugation in our representation of  $\text{Gal}(\overline{F}^+/F^+)$ . This latter image only makes sense for the Galois representations coming from certain automorphic forms on the unitary groups, namely those that arise from an automorphic form on  $GL_n(\mathbb{A}_{F^+})$  by some functoriality.

In the case that  $n$  is odd the unitary groups employed by Shin [Sh] have rank  $n$  and we are able to use the moduli theoretic interpretation of its Shimura variety to write descent data to the maximal totally real subfield of  $F$ . This descent data does not commute with the action of the finite adelic points of the unitary group. However in the special case of an automorphic representation  $\pi$  which arises by functoriality from an automorphic form on  $GL_n$  over a totally real field we are able to show that, up to twist, this descent data preserves the  $\pi^\infty$  isotypical component of the cohomology, and hence gives a geometric realization of  $r_{l,i}(\Pi)(c_v)$ . Because of its geometric construction,  $r_{l,i}(\Pi)(c_v)$  also makes sense in the world of variations of Hodge structures. Finally we can appeal to the fact that the Hodge structure corresponding to  $r_{l,i}(\Pi)$  is regular (i.e. each  $h^{p,q} \leq 1$ ) to show that  $|\text{tr } r_{l,i}(\Pi)(c_v)| \leq 1$ .

In the case that  $n$  is even and  $\Pi$  is not discrete series at any finite place, [Sh] realizes twists of  $r_{l,i}(\Pi)|_{\text{Gal}(\overline{F}^+/F)}$  is in the cohomology of the Shimura varieties for unitary groups of rank  $n+1$ . One takes the  $\pi^\infty$  isotypic component of the cohomology for an unstable automorphic representation  $\pi$  of the unitary group, which one constructs from  $\Pi$  using the theory of endoscopy. In this case our descent data relates the  $\pi^\infty$  isotypic component of the cohomology, not to itself, but to a twist of the  $(\pi')^\infty$  isotypic component for a second unstable automorphic representation  $\pi'$  of the unitary group also arising from  $\Pi$ . (This

$\pi'$  is not even nearly equivalent to a twist of  $\pi$ .) This does not seem to be helpful.

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## Notation

Let establish some notation that we will use throughout the paper.

If  $\rho$  is a representation  $\kappa_\rho$  will denote its central character.

If  $F$  is a  $p$ -adic field with valuation  $v$  then  $F^{\text{nr}}$  will denote its maximal unramified extension and  $\text{Frob}_v \in \text{Gal}(F^{\text{nr}}/F)$  will denote geometric Frobenius. Moreover  $\text{Art}_F : F^\times \rightarrow \text{Gal}(\overline{F}/F)^{\text{ab}}$  will denote the Artin map (normalized to take uniformizers to geometric Frobenius elements). Suppose that  $V/\overline{\mathbb{Q}}_l$  is a finite dimensional vector space and that

$$r : \text{Gal}(\overline{F}/F) \rightarrow \text{GL}(V)$$

is a continuous homomorphism. If either  $l \neq p$  or  $l = p$  and  $V$  is de Rham (i.e.  $\dim_{\overline{\mathbb{Q}}_l}(V \otimes_{\tau, F} B_{\text{DR}})^{\text{Gal}(\overline{F}/F)} = \dim_{\overline{\mathbb{Q}}_l} V$  for all continuous embeddings  $\tau : F \hookrightarrow \overline{\mathbb{Q}}_l$ ) then we may associate to  $r$  a Weil-Deligne representation  $\text{WD}(r)$  of the Weil group  $W_K$  of  $K$  over  $\overline{\mathbb{Q}}_l$ . In the case  $l \neq p$  the Weil-Deligne representation  $\text{WD}(r)$  determines  $r$  up to equivalence. (See for instance section 1 of [TY] for details.) If  $(r, N)$  is a Weil-Deligne representation of  $W_K$  then we will let  $(r, N)^{\text{F-ss}} = (r^{\text{ss}}, N)$  denote the Frobenius semisimplification of  $(r, N)$ . We will write  $\text{rec}_F$  for the the local Langlands correspondence - a bijection from irreducible smooth representations of  $\text{GL}_n(F)$  over  $\mathbb{C}$  to  $n$ -dimensional Frobenius semi-simple Weil-Deligne representations of the Weil group  $W_F$  of  $F$ . (See the introduction and/or section VII.2 of [HT]. Recall that if  $\chi$  is a character of  $F^\times$  then  $\text{rec}(\chi) = \chi \circ \text{Art}_F^{-1}$ .)

If  $F = \mathbb{R}$  or  $\mathbb{C}$  we will write  $\text{Art}_F : F^\times \twoheadrightarrow \text{Gal}(\overline{F}/F)$ . If  $F = \mathbb{R}$  then we will denote by  $c$  the non-trivial element of  $\text{Gal}(\overline{F}/F)$  and denote by  $\text{sgn}$  the unique surjection  $F^\times \twoheadrightarrow \{\pm 1\}$ .

If  $F$  is a number field then

$$\text{Art}_F = \prod_v \text{Art}_{F_v} : \mathbb{A}_F^\times / \overline{F^\times (F_\infty^\times)^0} \xrightarrow{\sim} \text{Gal}(\overline{F}/F)^{\text{ab}}$$

will denote the Artin map. If  $v$  is a real place of  $F$  then we will let  $c_v$  denote the image of  $c \in \text{Gal}(\overline{F}_v/F_v)$  in  $\text{Gal}(\overline{F}/F)$ . Thus  $c_v$  is well defined up to conjugacy. Suppose that

$$\chi : \mathbb{A}_F^\times / F^\times \rightarrow \mathbb{C}^\times$$

is a continuous character for which there exists  $a \in \mathbb{Z}^{\text{Hom}(F, \mathbb{C})}$  such that

$$\chi|_{(F_\infty^\times)^0} : x \longmapsto \prod_{\tau \in \text{Hom}(F, \mathbb{C})} (\tau x)^{a_\tau}$$

(i.e. an algebraic grossencharacter). Suppose also that  $\iota : \overline{\mathbb{Q}}_l \xrightarrow{\sim} \mathbb{C}$ . Then we define

$$r_{l, \iota}(\chi) : \text{Gal}(\overline{F}/F) \longrightarrow \overline{\mathbb{Q}}_l^\times$$

to be the continuous character such that

$$\iota \left( (r_{l, \iota}(\chi) \circ \text{Art}_F)(x) \prod_{\tau \in \text{Hom}(F, \mathbb{C})} (\iota^{-1} \tau)(x_l)^{-a_\tau} \right) = \chi(x) \prod_{\tau \in \text{Hom}(F, \mathbb{C})} (\tau x)^{-a_\tau}.$$

## 1 Statement of the main result.

Now let  $F^+$  be a totally real field. By a *RAESDC* (regular, algebraic, essentially self dual, cuspidal) automorphic representation  $\pi$  of  $GL_n(\mathbb{A}_{F^+})$  we mean a cuspidal automorphic representation such that

- $\pi^\vee \cong \pi \otimes (\chi \circ \det)$  for some continuous character  $\chi : F^{+\times} \backslash \mathbb{A}_{F^+}^\times \rightarrow \mathbb{C}^\times$  with  $\chi_v(-1)$  independent of  $v|\infty$ , and
- $\pi_\infty$  has the same infinitesimal character as some irreducible algebraic representation of the restriction of scalars from  $F^+$  to  $\mathbb{Q}$  of  $GL_n$ .

Note that  $\chi$  is necessarily algebraic. Also note that if  $n$  is odd and if  $\pi^\vee \cong \pi \otimes (\chi \circ \det)$  then  $\chi_v(-1)$  is necessarily independent of  $v|\infty$ , in fact it is necessarily 1 for all such  $v$ .

If  $F^+$  is totally real we will write  $(\mathbb{Z}^n)^{\text{Hom}(F^+, \mathbb{C}), +}$  for the set of  $a = (a_{\tau, i}) \in (\mathbb{Z}^n)^{\text{Hom}(F^+, \mathbb{C})}$  satisfying

$$a_{\tau, 1} \geq \dots \geq a_{\tau, n}.$$

If  $F^{+'}/F^+$  is a finite totally real extension we define  $a_{F^{+'}} \in (\mathbb{Z}^n)^{\text{Hom}(F^{+'}, \mathbb{C}), +}$  by

$$(a_{F^{+'}})_{\tau, i} = a_{\tau|_{F^+}, i}.$$

If  $a \in (\mathbb{Z}^n)^{\text{Hom}(F^+, \mathbb{C}), +}$ , let  $\Xi_a$  denote the irreducible algebraic representation of  $GL_n^{\text{Hom}(F^+, \mathbb{C})}$  which is the tensor product over  $\tau$  of the irreducible representations of  $GL_n$  with highest weights  $a_\tau$ . We will say that a RAESDC automorphic representation  $\pi$  of  $GL_n(\mathbb{A}_{F^+})$  has *weight*  $a$  if  $\pi_\infty$  has the same infinitesimal character as  $\Xi_a^\vee$ .

Fix once and for all an isomorphism  $\iota : \overline{\mathbb{Q}}_l \xrightarrow{\sim} \mathbb{C}$ . The following theorem is proved in [Sh] (see also [CHLN]). (This is not explicitly stated in [Sh], but see remark 7.6 of [Sh]. For the last sentence see [TY].)

**Theorem 1.1 (Shin)** *Let  $F_0^+$  be a totally real field and let  $n$  be an odd positive integer. Let  $a \in (\mathbb{Z}^n)^{\text{Hom}(F_0^+, \mathbb{C}), +}$ . Suppose further that  $\pi_0$  is a RAESDC automorphic representation of  $GL_n(\mathbb{A}_{F_0^+})$  of weight  $a$ . Specifically suppose that  $\Pi^\vee \cong \Pi_\chi$  where  $\chi : \mathbb{A}_{F_0^+}^\times / (F_0^+)^\times \rightarrow \mathbb{C}^\times$  and  $\chi_v(-1)$  is independent of  $v | \infty$ . Then there is a continuous semisimple representation*

$$r_{l,\iota}(\Pi) : \text{Gal}(\overline{F}_0^+ / F_0^+) \longrightarrow GL_n(\overline{\mathbb{Q}}_l)$$

with the following properties.

1. For every prime  $v \nmid l$  of  $F_0^+$  we have

$$\text{WD}(r_{l,\iota}(\Pi)|_{\text{Gal}(\overline{F}_{0,v}^+ / F_{0,v}^+)})^{\text{F-ss}} = r_l(\iota^{-1} \text{rec}(\Pi_v \otimes |\det|_v^{(1-n)/2})).$$

2.  $r_{l,\iota}(\Pi)^\vee = r_{l,\iota}(\Pi) \epsilon^{n-1} r_{l,\iota}(\chi)$ .

3.  $\det r_{l,\iota}(\Pi) = r_{l,\iota}(\kappa_\Pi) \epsilon_l^{n(1-n)/2}$ .

4. If  $v | l$  is a prime of  $F_0^+$  then the restriction  $r_{l,\iota}(\Pi)|_{\text{Gal}(\overline{F}_{0,v}^+ / F_{0,v}^+)}$  is de Rham. Moreover if  $\Pi_v$  is unramified, if  $F_{0,v}^0$  denotes the maximal unramified subextension of  $F_{0,v}^+ / \mathbb{Q}_l$  and if  $\tau : (F_{0,v}^+)^0 \hookrightarrow \overline{\mathbb{Q}}_l$  then  $r_{l,\iota}(\Pi)|_{\text{Gal}(\overline{F}_{0,v}^+ / F_{0,v}^+)}$  is crystalline and the characteristic polynomial of  $\phi^{[(F_{0,v}^+)^0 : \mathbb{Q}_l]}$  on

$$(r_{l,\iota}(\Pi) \otimes_{\tau, (F_{0,v}^+)^0} B_{\text{cris}})^{\text{Gal}(\overline{F}_{0,v}^+ / F_{0,v}^+)}$$

equals the characteristic polynomial of

$$\iota^{-1} \text{rec}_{F_{0,v}^+}(\Pi_v \otimes |\det|_v^{(1-n)/2})(\text{Frob}_v).$$

5. If  $v | l$  is a prime of  $F_0^+$  and if  $\tau : F_0^+ \hookrightarrow \overline{\mathbb{Q}}_l$  lies above  $v$  then

$$\dim_{\overline{\mathbb{Q}}_l} \text{gr}^i(r_{l,\iota}(\Pi) \otimes_{\tau, F_{0,v}^+} B_{\text{DR}})^{\text{Gal}(\overline{F}_{0,v}^+ / F_{0,v}^+)} = 0$$

unless  $i = a_{v\tau,j} + n - j$  for some  $j = 1, \dots, n$  in which case

$$\dim_{\overline{\mathbb{Q}}_l} \text{gr}^i(r_{l,\iota}(\Pi) \otimes_{\tau, F_{0,v}^+} B_{\text{DR}})^{\text{Gal}(\overline{F}_{0,v}^+ / F_{0,v}^+)} = 1.$$

If  $\Pi$  is discrete series at some finite place then  $r_{l,i}(\Pi)$  is irreducible.

The purpose of this paper is to calculate  $r_{l,i}(\Pi)(c_v)$  for any infinite place  $v$  of  $F_0^+$ .

**Proposition 1.2** *Keep the notation and assumptions of the above theorem and suppose that  $r_{l,i}(\Pi)$  is irreducible. (In particular we are assuming that  $n$  is odd.) Let  $v$  denote an infinite place of  $F_0^+$ . Then*

$$r_{l,i}(\Pi)(c_v)$$

*is semi-simple with eigenvalues 1 of multiplicity  $(n + \kappa_{\Pi,v}(-1))/2$  and  $-1$  with multiplicity  $(n - \kappa_{\Pi,v}(-1))/2$ .*

## 2 A geometric realization of complex conjugation.

We must recall some of the construction of  $r_{l,i}(\Pi)$  and explain how the action of complex conjugation can be constructed geometrically.

### 2.1 The basic set-up.

Note that there is a constant  $\alpha \in \mathbb{Z}$  such that  $a_{\tau,j} + a_{\tau,n+1-j} = \alpha$  for all  $j = 1, \dots, n$  and all  $\tau : F_0^+ \hookrightarrow \mathbb{C}$ . Then

$$\chi|_{((F_{0,\infty}^+)^{\times})^0} = \mathbf{N}_{F_0^+/\mathbb{Q}}^{\alpha}.$$

Shin shows that one can choose

- a soluble Galois totally real extension  $F^+/F_0^+$ ;
- an imaginary quadratic field  $E$  in which  $l$  splits;
- an embedding  $\tau_0 : F = F^+E \hookrightarrow \mathbb{C}$ ;
- a continuous character

$$\phi : \mathbb{A}_F^{\times}/F^{\times} \longrightarrow \mathbb{C}^{\times};$$

- a continuous character

$$\psi : \mathbb{A}_E^{\times}/E^{\times} \longrightarrow \mathbb{C}^{\times};$$

with the following properties.

- $[F^+ : \mathbb{Q}]$  is even and  $> 2$ .
- If  $\text{Ram}$  denotes the set of (finite) rational primes above which any of  $F$ ,  $\Pi$ ,  $\phi$ , or  $\psi$  ramifies, then every prime of  $F^+$  above a prime of  $\text{Ram}$  splits in  $F$ .
- $r_{l,\iota}(\Pi)|_{\text{Gal}(\bar{F}/F)}$  remains irreducible.
- $\phi\phi^c = \chi_F$  and  $\phi|_{F^\times} = \prod_{\tau} \tau^{-\beta_{\tau}}$  where  $\beta_{\tau} + \beta_{\tau c} = -\alpha$ .
- $\psi^c/\psi = (\kappa_{\Pi}|_{\mathbb{A}^\times}^{[F^+:F_0^+]} \circ \mathbf{N}_{E/\mathbb{Q}})\phi|_{\mathbb{A}_E^\times}^n$ .
- $\psi_\infty = \tau_0^{-\epsilon}(\tau_0 \circ c)^{-\epsilon'}$  with  $\epsilon, \epsilon' \in \mathbb{Z}$ .
- $\psi$  is unramified at the prime of  $E$  above  $l$  corresponding to  $\iota^{-1} \circ \tau_0$ .

Let  $V = F^n$  and let

$$\langle \ , \ \rangle : V \times V \longrightarrow \mathbb{Q}$$

be a non-degenerate alternating bilinear form such that

$$\langle xv, w \rangle = \langle v, (cx)w \rangle$$

for all  $x \in F$  and  $v, w \in V$ . Let  $G$  be the reductive subgroup of  $GL(V/F)$  consisting of elements which preserve  $\langle \ , \ \rangle$  up to a  $\mathbb{G}_m$ -multiple and let  $\nu : G \rightarrow \mathbb{G}_m$  denote the multiplier character. We may, and do, suppose that  $V$  is chosen so that

- $G$  is split at all finite places;
- if  $\tau : F \hookrightarrow \mathbb{C}$  satisfies  $\tau|_E = \tau_0|_E$  then the Hermitian form on  $V \otimes_{F,\tau} \mathbb{C}$  defined by

$$(v, w) \longmapsto \langle v, iw \rangle$$

has a maximal positive definite subspace of dimension 0 if  $\tau \neq \tau_0$  and 1 if  $\tau = \tau_0$ .

(See [Sh].) There is an identification of  $G \times_{\mathbb{Q}} E$  with the product of  $GL_1$  and the restriction of scalars from  $F$  to  $E$  of  $GL_n$ . The map sends  $g$  to the product of its multiplier and its action on the direct summand  $V \otimes_{E,1} E$  of  $V \otimes_{\mathbb{Q}} E = V \otimes_{E,1} E \oplus V \otimes_{E,c} E$ .



## 2.2 The group $G$ .

Letting  $\ker^1(\mathbb{Q}, G)$  denote the kernel of

$$H^1(\mathbb{Q}, G) \longrightarrow \prod_v H^1(\mathbb{Q}_v, G),$$

we see from section 8 of [K] that there is an identification

$$\ker^1(\mathbb{Q}, G) \cong ((F^+)^{\times} \cap (\mathbb{A}^{\times} \mathbf{N}_{F/F^+} \mathbb{A}_F^{\times})) / \mathbb{Q}^{\times} (\mathbf{N}_{F/F^+} F^{\times}).$$

As  $F/F^+$  is unramified at all finite primes we see that  $\mathbf{N}_{F/F^+} \mathbb{A}_F^{\times} \supset \widehat{\mathbb{Z}}^{\times} \mathbb{R}_{>0}^{\times}$  so that  $\mathbb{A}^{\times} \mathbf{N}_{F/F^+} \mathbb{A}_F^{\times} = \mathbb{Q}^{\times} \mathbf{N}_{F/F^+} \mathbb{A}_F^{\times}$ . Because  $(F^+)^{\times} \cap \mathbf{N}_{F/F^+} \mathbb{A}_F^{\times} = \mathbf{N}_{F/F^+} F^{\times}$  we conclude that

$$\ker^1(\mathbb{Q}, G) \cong \mathbb{Q}^{\times} ((F^+)^{\times} \cap \mathbf{N}_{F/F^+} \mathbb{A}_F^{\times}) / \mathbb{Q}^{\times} (\mathbf{N}_{F/F^+} F^{\times}) = \{1\}.$$

It follows from the proof of lemma 3.1 of [Sh] that the Tamagawa number  $\tau(G) = 2$ .

Let  $T$  denote the quotient of  $G$  by its derived subgroup. Then we may identify  $T$  by

$$T(R) = \{(x, y) \in R^{\times} \times (R \otimes_{\mathbb{Q}} F)^{\times} : x^n = y^c y\}$$

for any  $\mathbb{Q}$ -algebra  $R$ . The quotient map  $d : G \rightarrow T$  sends  $g$  to  $(\nu(g), \det g)$ . Also let  $Z$  denote the centre of  $G$  so that

$$Z(R) = \{(x, y) \in R^{\times} \times (R \otimes_{\mathbb{Q}} F)^{\times} : x = y^c y\}$$

for any  $\mathbb{Q}$ -algebra  $R$ . The map  $d|_Z$  sends  $(x, y)$  to  $(x, y^n)$  and the map  $\nu|_Z$  sends  $(x, y)$  to  $x$ . Note that  $Z \times E$  can be identified with the product of  $\mathbb{G}_m$  with the restriction of scalars from  $F$  to  $E$  of  $\mathbb{G}_m$  and the norm map sends  $(a, b)$  to  $(a^c a, {}^c a b / {}^c b)$ . Then

$$\nu : Z(\mathbb{A}) / Z(\mathbb{Q}) (\mathbf{N}_{E/\mathbb{Q}} Z(\mathbb{A}_E)) \xrightarrow{\sim} \mathbb{A}^{\times} / \mathbb{Q}^{\times} (\mathbf{N}_{E/\mathbb{Q}} \mathbb{A}_E^{\times}) \cong \text{Gal}(E/\mathbb{Q}).$$

[To see this note that the left hand side is

$$\{y \in \mathbb{A}_F^{\times} : y^c y \in \mathbb{A}^{\times}\} / \mathbb{A}_E^{\times} \{y \in F^{\times} : y^c y \in \mathbb{Q}^{\times}\} \{y / {}^c y : y \in \mathbb{A}_F^{\times}\}.$$

As  $\{y / {}^c y : y \in \mathbb{A}_F^{\times}\} = \mathbb{A}_F^{\times} \mathbf{N}_{F/F^+}^{-1}$  we see that the group in the previous displayed equations maps isomorphically under  $\nu = \mathbf{N}_{F/F^+}$  to

$$\begin{aligned} & (\mathbb{A}^{\times} \cap \mathbf{N}_{F/F^+} \mathbb{A}_F^{\times}) / (\mathbf{N}_{E/\mathbb{Q}} \mathbb{A}_E^{\times}) (\mathbb{Q}^{\times} \cap \mathbf{N}_{F/F^+} F^{\times}) \\ & \cong (\mathbb{A}^{\times} \cap \mathbf{N}_{F/F^+} \mathbb{A}_F^{\times}) / ((\mathbf{N}_{E/\mathbb{Q}} \mathbb{A}_E^{\times}) \mathbb{Q}^{\times} \cap \mathbf{N}_{F/F^+} \mathbb{A}_F^{\times}). \end{aligned}$$

There is a natural injection from here to  $\mathbb{A}^\times / (\mathbf{N}_{E/\mathbb{Q}} \mathbb{A}_E^\times) \mathbb{Q}^\times$ . It only remains to see that this map is surjective, i.e. that

$$\mathbb{A}^\times / \mathbb{Q}^\times (\mathbf{N}_{E/\mathbb{Q}} \mathbb{A}_E^\times) (\mathbb{A}^\times \cap \mathbf{N}_{F/F^+} \mathbb{A}_F^\times) = \{1\}.$$

However as  $F/F^+$  is everywhere unramified we have that

$$(\mathbb{A}^\times \cap \mathbf{N}_{F/F^+} \mathbb{A}_F^\times) \supset \widehat{\mathbb{Z}}^\times \times \mathbb{R}_{>0}^\times,$$

while  $\mathbb{A}^\times = \mathbb{Q}^\times \widehat{\mathbb{Z}}^\times \mathbb{R}_{>0}^\times$ . ]

### 2.3 The involution $I$ .

We can choose a  $\mathbb{Q}$ -linear map  $I : V \rightarrow V$  such that

- $I(xv) = {}^c x I(v)$  for all  $x \in F$  and  $v \in V$ ;
- $\langle Iv, Iw \rangle = -\langle v, w \rangle$  for all  $v, w \in V$ ;
- $I^2 = 1$ .

[To see this note that with respect to a suitable basis we have

$$\langle v, w \rangle = \text{tr}_{F/\mathbb{Q}}({}^t v D^c w)$$

for some diagonal matrix  $D$  with  ${}^c D = -D$ . With respect to such a basis we can take  $I$  to simply be complex conjugation on coordinates.] The choice of  $I$  gives rise to an automorphism  $\#$  of  $G$  of order two:

$$g^\# = IgI.$$

Note that

$$\nu \circ \# = \nu$$

and that

$$\det g^\# = {}^c \det g.$$

If we identify  $G \times E$  with the product of  $\mathbb{G}_m$  and the restriction of scalars from  $F$  to  $E$  of  $GL_n$  then  $\#$  differs by composition with an inner automorphism from the automorphism:

$$(x, g) \longmapsto (x, x^t g^{-1}).$$

## 2.4 Base change from $G(\mathbb{A}^\infty)$ to $(\mathbb{A}^\infty)^\times \times GL_n(\mathbb{A}_F^\infty)$ .

As in section IV.2 of [HT] we can define the base change  $\text{BC}(\tilde{\pi})$  of an irreducible admissible representation  $\tilde{\pi}$  of  $G(\mathbb{A}^\infty)$  which is unramified at a place  $v$  of  $\mathbb{Q}$ , unless all primes of  $F^+$  above  $v$  split in  $F$ . The base change lift  $\text{BC}(\pi)$  is an irreducible admissible representation of  $(\mathbb{A}_E^\infty)^\times \times GL_n(\mathbb{A}_F^\infty)$ . Note that if  $\delta_{E/\mathbb{Q}}$  denotes the nontrivial character of  $\mathbb{A}^\times/\mathbb{Q}^\times \mathbf{N}_{E/\mathbb{Q}} \mathbb{A}_E^\times$  then

$$\text{BC}(\tilde{\pi}) = \text{BC}(\tilde{\pi} \otimes (\delta_{E/\mathbb{Q}} \circ \nu)).$$

Also note that  $\tilde{\pi}$  and  $\tilde{\pi} \otimes (\delta_{E/\mathbb{Q}} \circ \nu)$  have different central characters and so can not be isomorphic. (Recall that

$$\nu : Z(\mathbb{A}^\infty) \rightarrow (\mathbb{A}^\infty)^\times \cap \mathbf{N}_{F/F^+}(\mathbb{A}_F^\infty)^\times \supset \widehat{\mathbb{Z}}^\times.)$$

We have that

$$\kappa_{\text{BC}(\tilde{\pi})} = \kappa_{\tilde{\pi}} \circ \mathbf{N},$$

where  $\mathbf{N}$  denotes the norm map  $Z(\mathbb{A}_E^\infty) \rightarrow Z(\mathbb{A}^\infty)$ . If

$$\text{BC}(\tilde{\pi}) = (\tilde{\phi}, \tilde{\Pi})$$

then

$$\text{BC}(\tilde{\pi}^\#) = (\tilde{\phi} \kappa_{\tilde{\Pi}}|_{(\mathbb{A}_E^\infty)^\times}, \tilde{\Pi}^\vee)$$

and

$$\kappa_{\tilde{\pi}^\#} = \kappa_{\tilde{\pi}} \kappa_{\tilde{\Pi}}^c|_{Z(\mathbb{A}^\infty)},$$

where we think of  $Z(\mathbb{A}^\infty) \subset (\mathbb{A}_F^\infty)^\times$ .

Define

$$\begin{aligned} \omega : T(\mathbb{A})/T(\mathbb{Q}) &\longrightarrow \mathbb{C}^\times \\ (x, y) &\longmapsto \phi^c(y)^{-1} \kappa_{\Pi, F^+}(x)^{-1}. \end{aligned}$$

Note that

$$\omega^\# \omega = 1.$$

With the functorialities of the previous paragraph the following lemma is easy to verify.

**Lemma 2.1** *Suppose that  $\tilde{\pi}$  is as in the previous paragraph and that*

$$\text{BC}(\tilde{\pi}) = (\psi^\infty, \Pi_F \phi).$$

*Then*

1.  $\kappa_{\tilde{\pi}^\# \otimes (\omega^\infty \circ d)} = \kappa_{\tilde{\pi}}$ ;

2.  $\text{BC}(\tilde{\pi}^\# \otimes (\omega^\infty \circ d)) = \text{BC}(\tilde{\pi})$ ;

3. *and there exists an automorphism  $A_{\tilde{\pi}}$  of the underlying space of  $\tilde{\pi}$  such that*

$$A_{\tilde{\pi}}\pi(g) = \tilde{\pi}(g^\#)\omega(d(g))A_{\tilde{\pi}}$$

*for all  $g \in G(\mathbb{A}^\infty)$  and  $A_{\tilde{\pi}}^2 = 1$ . moreover  $A_{\tilde{\pi}}$  is unique up to sign.*

## 2.5 Weights.

We identify  $G \times_{\mathbb{Q}} \mathbb{C}$  with

$$\mathbb{G}_m \times \prod_{\tau \in \text{Hom}_{E, \tau_0}(F, \mathbb{C})} GL(V \otimes_{F, \tau} \mathbb{C}),$$

where  $\text{Hom}_{E, \tau_0}(F, \mathbb{C})$  denotes the set of embeddings  $\tau : F \hookrightarrow \mathbb{C}$  with  $\tau|_E = \tau_0|_E$ . The identification sends  $g$  to its multiplier and its push forward to each  $GL(V \otimes_{F, \tau} \mathbb{C})$ . Let  $\xi$  denote the irreducible representations of  $G \times_{\mathbb{Q}} \mathbb{C}$  with highest weights  $(b_0; b_{\tau, i})_{\tau|_E = \tau_0|_E}$ , where

- $b_0 = \epsilon$ ;
- $b_{\tau, i} = a_{\tau|_{F_0^+}, i} + \beta_\tau$ .

Then  $\xi^\#$  has highest weights

$$(b_0 + \sum_{\tau \in \text{Hom}_{E, \tau_0}(F, \mathbb{C}), i} b_{\tau, i}; -b_{\tau, n+1-i})_{\tau \in \text{Hom}_{E, \tau_0}(F, \mathbb{C}); i=1, \dots, n}.$$

Also let  $\zeta$  be the irreducible representation with highest weights

$$(-n([F^+ : \mathbb{Q}]\alpha/2 + \sum_{\tau \in \text{Hom}_{E, \tau_0}(F, \mathbb{C})} \beta_\tau); \alpha + 2\beta_\tau)_{\tau \in \text{Hom}_{E, \tau_0}(F, \mathbb{C}); i=1, \dots, n}.$$

Then

- $\zeta$  is one dimensional;
- $\xi^\# \otimes \zeta \cong \xi$ ;
- $\zeta^\# \cong \zeta^\vee$ ;
- and  $\omega|_{T(\mathbb{R})} = \zeta^{-1}$ .

## 2.6 Shimura Varieties

Let  $U$  denote an open compact subgroup of  $G(\mathbb{A}^\infty)$ . Consider the functor  $\mathfrak{X}_U$  from connected, locally noetherian  $F$ -schemes with a specified geometric point to sets, which sends a pair  $(S, \bar{s})$  to the set of equivalence classes of 4-tuples

$$(A, i, \lambda, \bar{\eta})$$

where

1.  $A/S$  is an abelian scheme of relative dimension  $n$ ;
2.  $i : F \hookrightarrow \text{End}^0(A/S)$  such that for all  $x \in F$  we have

$$\text{tr}(x|_{\text{Lie } A}) = x - {}^c x + n \text{tr}_{F/E} {}^c x;$$

3.  $\lambda : A \rightarrow A^\vee$  is a polarisation such that  $i(x)^\vee \circ \lambda = \lambda \circ i({}^c x)$  for all  $x \in F$ ;
4.  $\bar{\eta}$  is a  $\pi_1(S, \bar{s})$ -invariant  $U$ -orbit of  $\mathbb{A}_F^\infty$ -isomorphisms  $\eta : V \otimes \mathbb{A}^\infty \xrightarrow{\sim} VA_{\bar{s}}$  such that for some isomorphism  $\eta_0 : \mathbb{A}^\infty \xrightarrow{\sim} \mathbb{A}^\infty(1)$  and for all  $v, w \in V \otimes \mathbb{A}^\infty$  we have

$$\langle \eta v, \eta w \rangle_\lambda = \eta_0 \langle v, w \rangle,$$

where  $\langle \cdot, \cdot \rangle_\lambda$  denotes the  $\lambda$ -Weil pairing.

Two 4-tuples  $(A, i, \lambda, \bar{\eta})$  and  $(A', i', \lambda', \bar{\eta}')$  are considered equivalent if there is an isogeny

$$\gamma : A \longrightarrow A'$$

such that

1.  $\gamma i(x) = i'(x) \gamma$  for all  $x \in F$ ,
2.  $\gamma^\vee \lambda' \gamma \in \mathbb{Q}^\times \lambda$ ,
3. and  $(V \gamma_{\bar{s}}) \circ \bar{\eta} = \bar{\eta}'$ .

This functor is canonically independent of the choice of base point  $\bar{s}$  and so can be considered as a functor from connected, locally noetherian  $F$ -schemes to sets. It can be extended to all locally noetherian  $F$ -schemes by setting  $\mathfrak{X}_U(S_1 \amalg S_2) = \mathfrak{X}_U(S_1) \times \mathfrak{X}_U(S_2)$ .

If  $U$  is sufficiently small then  $\mathfrak{X}_U$  is represented by an abelian scheme  $\mathcal{A}_U/X_U/\text{Spec } F$ . If  $V \subset U$  is an open subgroup there is a natural map  $X_V \rightarrow X_U$  such that  $\mathcal{A}_U$  pulls back to  $\mathcal{A}_V$ . The inverse system of the  $X_U$ 's

carries a natural action of  $G(\mathbb{A}^\infty)$ , as does the inverse system of the  $\mathcal{A}_U$ 's. If  $V$  is a normal open subgroup of  $U$  then  $U$  acts on  $X_V$  and induces an isomorphism between  $U/V$  and  $\text{Gal}(X_V/X_U)$ . Thus  $\iota^{-1}\xi$  gives a representation of  $U$  and hence a lisse  $\overline{\mathbb{Q}}_l$ -sheaf  $\mathcal{L}_\xi$  on  $X_U$ . The  $\overline{\mathbb{Q}}_l$ -vector space

$$H^i(X, \mathcal{L}_\xi) = \lim_{\rightarrow U} H^i(X_U \times \overline{F}, \mathcal{L}_\xi)$$

has an action of  $G(\mathbb{A}^\infty) \times \text{Gal}(\overline{F}/F)$ . It is admissible and semi-simple as a  $G(\mathbb{A}^\infty)$ -module. If  $U$  is an open, compact subgroup of  $G(\mathbb{A}^\infty)$  then

$$H^i(X, \mathcal{L}_\xi)^U = H^i(X_U \times \overline{F}, \mathcal{L}_\xi)$$

is a continuous representation of  $\text{Gal}(\overline{F}/F)$  on a finite dimensional  $\overline{\mathbb{Q}}_l$ -vector space.

The pull back  $X_U \times_{F,c} F$  represents the functor  $\mathfrak{X}'_U$  defined exactly as  $\mathfrak{X}_U$  except that the condition

$$\text{tr}(x|_{\text{Lie } A}) = x - {}^c x + n \text{tr}_{F/E} {}^c x$$

is replaced by the condition

$$\text{tr}(x|_{\text{Lie } A}) = {}^c x - x + n \text{tr}_{F/E} x.$$

There is a map of functors  $\mathfrak{X}_U \rightarrow \mathfrak{X}'_U$  which sends  $(A, i, \lambda, \overline{\eta})$  to  $(A, i \circ c, \lambda, \overline{\eta \circ I})$ . This induces an  $F$ -linear map  $X_U \rightarrow X_U \times_{F,c} F$  and hence a  $c$ -linear map, which we will also denote  $I$ ,

$$\begin{array}{ccc} X_U & \xrightarrow{I} & X_U \\ \downarrow & & \downarrow \\ \text{Spec } F & \xrightarrow{c} & \text{Spec } F. \end{array}$$

We have

- $I^2 = 1$ ;
- $IgI = g^\#$  for  $g \in G(\mathbb{A}^\infty)$ ;
- and a natural isomorphism  $I^* \mathcal{L}_\xi \otimes \mathcal{L}_c \cong \mathcal{L}_\xi$ .

Thus  $I$  provides a way to descend the system of the  $X_U$  to  $F^+$ , however this descended system of varieties no longer has an action of  $G(\mathbb{A}^\infty)$  defined over  $F^+$ .

## 2.7 Complex points and connected components.

We will need to consider the complex uniformization of  $X_u \times_{F,\tau} \mathbb{C}$  for every homomorphism  $\tau : F \hookrightarrow \mathbb{C}$ . So suppose  $\tau : F \hookrightarrow \mathbb{C}$ . There is a non-degenerate alternating form

$$\langle \cdot, \cdot \rangle_\tau : V \times V \longrightarrow \mathbb{Q}$$

such that

$$\langle xv, w \rangle_\tau = \langle v, {}^c xw \rangle_\tau$$

for all  $x \in F$  and  $v, w \in V$  and such that

- there is an isomorphism  $j_\tau : (V \otimes_{\mathbb{Q}} \mathbb{A}^\infty, \langle \cdot, \cdot \rangle_\tau) \xrightarrow{\sim} (V \otimes_{\mathbb{Q}} \mathbb{A}^\infty, \langle \cdot, \cdot \rangle_\tau)$  as  $\mathbb{A}_F^\infty$ -modules with alternating  $\mathbb{A}^\infty$ -bilinear pairing;
- if  $\tau' : F \hookrightarrow \mathbb{C}$  satisfies  $\tau'|_E = \tau|_E$  then the Hermitian form on  $V \otimes_{F,\tau'} \mathbb{C}$  defined by

$$(v, w) \longmapsto \langle v, iw \rangle_\tau$$

has a maximal positive definite subspace of dimension 0 if  $\tau' \neq \tau$  and 1 if  $\tau' = \tau$ .

Let  $G_\tau$  denote the group of symplectic  $F$ -linear similitudes for  $(V, \langle \cdot, \cdot \rangle_\tau)$  and  $G_{\tau,1}$  the kernel of the multiplier character  $G_\tau \rightarrow \mathbb{G}_m$ . Note that  $G_\tau \times_{\mathbb{Q}} \mathbb{A}^\infty \cong G \times_{\mathbb{Q}} \mathbb{A}^\infty$  and that  $G_\tau/G_{\tau,1} \xrightarrow{\sim} T$ . Choose a  $\mathbb{Q}$ -linear map  $I_\tau : V \rightarrow V$  such that

- $I_\tau(xv) = {}^c x I_\tau(v)$  for all  $x \in F$  and  $v \in V$ ;
- $\langle I_\tau v, I_\tau w \rangle = -\langle v, w \rangle$  for all  $v, w \in V$ ;
- $I_\tau^2 = 1$ .

We may, and shall, take  $\langle \cdot, \cdot \rangle_{\tau_0} = \langle \cdot, \cdot \rangle$  and  $I_{\tau_0} = I$ .

Let  $\Omega_\tau$  denote the set of homomorphisms

$$h : \mathbb{C} \longrightarrow \text{End}_{F \otimes_{\mathbb{Q}} \mathbb{R}}(V \otimes_{\mathbb{Q}} \mathbb{R})$$

such that

- $\langle h(z)v, w \rangle_\tau = \langle v, h({}^c z)w \rangle_\tau$  for all  $z \in \mathbb{C}$  and  $v, w \in V \otimes \mathbb{R}$ ,
- $\langle v, h(i)v \rangle_\tau \geq 0$  for all  $v \in V$ .

Then  $\Omega_\tau$  forms a single conjugacy class for  $G_{\tau,1}(\mathbb{R})$  (see lemma 4.3 of [K]). This gives  $\Omega_\tau$  a topology (the quotient topology) and, as the group  $G_1(\mathbb{R})$  is connected, we see that  $\Omega_\tau$  is connected. There are  $G(\mathbb{A}^\infty)$ -equivariant homeomorphisms

$$G_\tau(\mathbb{Q}) \backslash (G(\mathbb{A}^\infty)/U \times \Omega_\tau) \xrightarrow{\sim} (X_U \times_{F,\tau} \mathbb{C})(\mathbb{C}).$$

(See section 8 of [K].) Let  $\Lambda$  be a  $\mathbb{Z}$ -lattice in  $V$ . The map sends  $(g, h)$  to  $(A, i, \lambda, \bar{\eta})$  determined as follows. The abelian variety  $A$  is characterized by the complex uniformization  $A(\mathbb{C}) = (V \otimes_{\mathbb{Q}} \mathbb{R})/\Lambda$  with the complex structure coming from  $h$ . The map  $i$  arises from the natural action of  $F$  on  $V \otimes_{\mathbb{Q}} \mathbb{R}$  and the (quasi-)polarisation  $\lambda$  corresponds to the Riemann form  $\langle \cdot, \cdot \rangle_\tau$ . Note that  $VA$  is naturally identified with  $V \otimes_{\mathbb{Q}} \mathbb{A}^\infty$ . The level structure  $\bar{\eta}$  is the class of  $j_\tau \circ g$ . Under  $I \times c_\tau$  this is taken to  $({}^cA, i \circ c, \lambda, \bar{\eta} \circ I)$ , which has analytic uniformization as  $(V \otimes_{\mathbb{Q}} \mathbb{R})/\Lambda$  but with the complex structure coming from  $h \circ c$ . The  $F$  action is the complex conjugate of the usual one. The Riemann form is sent to its negative and the level structure is  $j_\tau \circ g \circ I$ . The map  $I \otimes 1_{\mathbb{R}}$  shows that this is isomorphic to the abelian variety with additional structure corresponding to  $((j_\tau^{-1} I_\tau j_\tau I)g^\#, I_\tau h I_\tau) \in G(\mathbb{A}^\infty) \times \Omega_\tau$ . Set  $s_\tau = j_\tau^{-1} I_\tau j_\tau I \in G(\mathbb{Q})$  and note that  $s_\tau^\# s_\tau = 1$ .

We conclude that there is a bijection  $\varsigma_\tau$  :

$$\pi_0(X_U \times_F \bar{F}) \cong \pi_0(X_U \times_{F,\tau} \mathbb{C})(\mathbb{C}) \cong G_\tau(\mathbb{Q}) \backslash G_\tau(\mathbb{A}^\infty)/U \xrightarrow{\sim} T(\mathbb{Q}) \backslash T(\mathbb{A}^\infty)/d(U).$$

(For the bijectivity of the second map, which is given by  $d$ , see theorem 5.17 of [M] and the discussion following it.) Write  $\varsigma$  for  $\varsigma_{\tau_0}$ . The map  $\varsigma_\tau$  is  $G(\mathbb{A}^\infty)$ -equivariant. It is also  $I \times c_\tau$  equivariant if we let  $I \times c_\tau$  act on  $T(\mathbb{Q}) \backslash T(\mathbb{A}^\infty)/d(U)$  via  $t \mapsto d(s_\tau)t^\#$ . Note that because of the  $G(\mathbb{A}^\infty)$  equivariance we must have  $\varsigma_\tau = u_\tau \varsigma$  for some  $u_\tau \in T(\mathbb{A})$ . Thus we see that

- $\varsigma(Cg) = d(g)\varsigma(C)$  for all  $C \in \pi_0(X_U \times_F \bar{F})$  and all  $g \in G(\mathbb{A}^\infty)$ ,
- and for any infinite place  $v$  of  $\bar{F}$  there is an  $s_v \in T(\mathbb{A})$  such that  $\varsigma((I \times c_v)x) = s_v \varsigma(x)^\#$  and  $s_v s_v^\# = 1$ .

(If  $v|_F$  arises from  $\tau : F \hookrightarrow \mathbb{C}$  then  $s_v = d(s_\tau)u_\tau^\# u_\tau^{-1}$ .)

We wish to also know the  $\text{Gal}(\bar{F}/F)$ -equivariance of  $\varsigma$ . Note that the  $X_U$  are the canonical models for the Shimura varieties  $\text{Sh}_U(G, [h^{-1}])$ . (See section 8 of [K] and note that  $\ker^1(\mathbb{Q}, G) = (0)$ .) Define a map

$$r : \mathbb{A}_F^\times \longrightarrow T(\mathbb{A}_E) \xrightarrow{\mathbf{N}_{E/\mathbb{Q}}} T(\mathbb{A})$$



where the first map sends

$$x \longmapsto (\mathbf{N}_{F/E}x, x)^{-1}.$$

Note that  $r \circ \text{Art}_F^{-1}$  is a well defined map

$$(r \circ \text{Art}_F^{-1}) : \text{Gal}(\overline{F}/F) \longrightarrow T(\mathbb{A})/T(\mathbb{Q})T(\mathbb{R}).$$

Then according to section 13 of [M] we have

$$\varsigma(\sigma x) = (r \circ \text{Art}_F^{-1})(\sigma)\varsigma(x)$$

for all  $x \in \pi_0(X_U \times_F \overline{F})$  and all  $\sigma \in \text{Gal}(\overline{F}/F)$ .

## 2.8 $H^0$ of sheaves on our Shimura varieties.

Let  $\tilde{\xi}$  be the irreducible representation of  $G \times \mathbb{C}$  which has highest weight  $(\tilde{b}_0, \tilde{b}_{\tau,i})_{\tau|_E=\tau_0|_E}$ . The description of the previous section allows us to calculate  $H^0(X_U \times \overline{F}, \mathcal{L}_{\tilde{\xi}})$ . It will be (0) unless  $\tilde{b}_{\tau,i} = \tilde{b}_{\tau}$  is independent of  $i$ . In this case  $\tilde{\xi}$  factors through a map  $T \times \mathbb{C} \rightarrow \mathbb{G}_m$  which we will also denote  $\tilde{\xi}$ . We can then identify  $H^0(X_U \times \overline{F}, \mathcal{L}_{\tilde{\xi}})$  with the space of functions

$$f : T(\mathbb{A})/T(\mathbb{R})T(\mathbb{Q}) \longrightarrow \overline{\mathbb{Q}}_l$$

such that

$$f(tu) = (i^{-1}\tilde{\xi})(u_l)^{-1}f(t)$$

for all  $t \in T(\mathbb{A})$  and all  $u \in d(U)$ . The action of  $G(\mathbb{A}^\infty)$  is via

$$(gf)(t) = (i^{-1}\tilde{\xi})(g_l)f(td(g))$$

and the action of  $\text{Gal}(\overline{F}/F)$  is via

$$(\sigma f)(t) = f((r \circ \text{Art}_F^{-1})(\sigma)t).$$

The map that sends  $f$  to  $\tilde{f}$  defined by

$$\tilde{f}(t) = (i^{-1} \circ \tilde{\xi})(t_\infty)^{-1}(i^{-1}\tilde{\xi})(t_l)f(t),$$

establishes an isomorphism between  $H^0(X_U \times \overline{F}, \mathcal{L}_{\tilde{\xi}})$  and the space of functions  $\tilde{f} : T(\mathbb{A})/T(\mathbb{Q})d(U) \rightarrow \overline{\mathbb{Q}}_l$  such that

$$\tilde{f}(tu_\infty) = (i^{-1} \circ \tilde{\xi})(u_\infty)^{-1}\tilde{f}(t)$$

for all  $t \in T(\mathbb{A})$  and  $u_\infty \in T(\mathbb{R})$ . Now the action of  $G(\mathbb{A}^\infty)$  is via right translation ( $(gf)(t) = \tilde{f}(td(g))$ ) and the action of  $\text{Gal}(\overline{F}/F)$  is via

$$(\sigma \tilde{f})(t) = (\iota^{-1} \circ \tilde{\xi})(s_\infty)(\iota^{-1} \tilde{\xi})(s_l)^{-1} \tilde{f}(st)$$

where  $s$  is a lift of  $(r \circ \text{Art}_{\overline{F}}^{-1})(\sigma)$  to  $T(\mathbb{A})$ . From this it follows that we can write

$$H^0(X, \mathcal{L}_{\tilde{\xi}}) = \bigoplus_{\tilde{\omega}} \overline{\mathbb{Q}}_l v_{\tilde{\omega}}$$

where  $\tilde{\omega}$  runs over continuous characters

$$T(\mathbb{A})/T(\mathbb{Q}) \longrightarrow \mathbb{C}^\times$$

such that  $\tilde{\omega}|_{T(\mathbb{R})} = \tilde{\xi}^{-1}$ , and where:

- the action of  $G(\mathbb{A}^\infty)$  on  $v_{\tilde{\omega}}$  is via  $\iota^{-1} \circ \tilde{\omega} \circ d$ ;
- the action of  $\text{Gal}(\overline{F}/F)$  on  $v_{\tilde{\omega}}$  is via  $r_{l,\iota}(\tilde{\omega} \circ r)$ ;
- and, if  $v$  is an infinite place of  $\overline{F}$ , then  $(I \times c_v)v_{\tilde{\omega}} \in \overline{\mathbb{Q}}_l v_{\tilde{\omega}\#}$ .

In particular cupping with  $v_{\delta_{E/\mathbb{Q}} \circ \nu} \in H^0(X, \overline{\mathbb{Q}}_l)$  we see that

$$\text{Hom}_{G(\mathbb{A}^\infty)}(\iota^{-1} \pi, H^i(X, \mathcal{L}_\xi)) \cong \text{Hom}_{G(\mathbb{A}^\infty)}(\iota^{-1}(\pi \otimes (\delta_{E/\mathbb{Q}} \circ \nu)), H^i(X, \mathcal{L}_\xi)).$$

If  $v$  is a place of  $\overline{F}$  above infinity then  $I \times c_v$  defines a map  $X_U \times_F \overline{F} \rightarrow X_U \times_F \overline{F}$ , which in turn induces a map

$$H^i(X, \mathcal{L}_\xi) \longrightarrow H^i(X, \mathcal{L}_{\xi\#}).$$

Composing this with the cup product with  $\omega(s_v)^{-1/2} v_\omega \in H^0(X, \mathcal{L}_\zeta)$ , we get a map

$$I_v : H^i(X, \mathcal{L}_\xi) \longrightarrow H^i(X, \mathcal{L}_\xi),$$

such that

- $I_v g I_v = g^\#(\iota^{-1} \circ \omega \circ d)(g)$  for  $g \in G(\mathbb{A}^\infty)$ ;
- and  $I_v \sigma I_v = (c_v \sigma c_v) r_{l,\iota}((\psi_F \phi)^c / (\psi_F \phi))(\sigma)$  for  $\sigma \in \text{Gal}(\overline{F}/F)$ .

## 2.9 Galois representations.

Shin shows that

- $\bigoplus_{\text{BC}(\tilde{\pi})=(\psi^\infty, \Pi_F^\infty \otimes \phi^\infty)} \text{Hom}_{G(\mathbb{A}^\infty)}(i^{-1}\tilde{\pi}, H^i(X, \mathcal{L}_\xi)) \neq (0)$  if and only if  $i = n - 1$ , and
- $\bigoplus_{\text{BC}(\tilde{\pi})=(\psi^\infty, \Pi_F^\infty \otimes \phi^\infty)} \text{Hom}_{G(\mathbb{A}^\infty)}(i^{-1}\tilde{\pi}, H^{n-1}(X, \mathcal{L}_\xi))^{\text{ss}} \cong r_{l,i}(\Pi)|_{\text{Gal}(\overline{F}/F)}^\vee \otimes r_{l,i}(\psi_F^{-1}\phi^{-1})^2$ .

(See in particular theorem 6.4, corollary 6.5 and the proof of lemma 3.1 of [Sh]. The sums run over  $\tilde{\pi}$  which only ramify above rational primes  $v$ , such that all places of  $F^+$  above  $v$  split in  $F$ .) From the irreducibility of  $r_{l,i}(\Pi)|_{\text{Gal}(\overline{F}/F)}$  we see that at most two  $\tilde{\pi}$ 's can contribute to the latter sum. On the other hand if  $\tilde{\pi}$  contributes so does  $\tilde{\pi} \otimes (\delta_{E/\mathbb{Q}} \circ \nu)$ , because one can cup with  $\nu_{\delta_{E/\mathbb{Q}} \circ \nu}$ . Thus exactly two  $\tilde{\pi}$ 's contribute. Choose one of them and from now on reserve the notation  $\pi$  for this one. Thus we have the following.

- Suppose that  $\tilde{\pi}$  is an irreducible representation of  $G(\mathbb{A}^\infty)$  and  $j \in \mathbb{Z}_{\geq 0}$  such that
  - if  $\tilde{\pi}$  is ramified above a rational prime  $v$ , then all places of  $F^+$  above  $v$  split in  $F$ ;
  - $\text{BC}(\tilde{\pi}) = (\psi^\infty, \Pi_F^\infty \otimes \phi^\infty)$ ;
  - and  $\text{Hom}_{G(\mathbb{A}^\infty)}(i^{-1}\tilde{\pi}, H^{n-1}(X, \mathcal{L}_\xi)) \neq (0)$ .

Then  $j = n - 1$  and  $\tilde{\pi} \cong \pi$  or  $\pi \otimes (\delta_{E/\mathbb{Q}} \circ \nu)$ .

- $\text{Hom}_{G(\mathbb{A}^\infty)}(i^{-1}\pi, H^{n-1}(X, \mathcal{L}_\xi)) \otimes r_{l,i}(\psi_F\phi) \cong r_{l,i}(\Pi)|_{\text{Gal}(\overline{F}/F)}^\vee$ .
- $\text{Hom}_{G(\mathbb{A}^\infty)}(i^{-1}(\pi \otimes (\delta_{E/\mathbb{Q}} \circ \nu)), H^{n-1}(X, \mathcal{L}_\xi)) \otimes r_{l,i}(\psi_F\phi) \cong r_{l,i}(\Pi)|_{\text{Gal}(\overline{F}/F)}^\vee$ .

If  $v$  is an infinite place of  $\overline{F}$  then the map

$$f \longmapsto I_v \circ f \circ A_\pi$$

induces a map  $\tilde{c}_v$  on

$$\text{Hom}_{G(\mathbb{A}^\infty)}(i^{-1}\pi, H^{n-1}(X, \mathcal{L}_\xi)) \otimes r_{l,i}(\psi_F\phi)$$

such that

$$\tilde{c}_v \circ \sigma \circ \tilde{c}_v = (c_v \sigma c_v)$$

for all  $\sigma \in \text{Gal}(\overline{F}/F)$ . Because  $r_{l,i}(\Pi)|_{\text{Gal}(\overline{F}/F)}^\vee$  is irreducible, we conclude that  $\tilde{c}_v$  corresponds to a scalar multiple of  $r_{l,i}(\Pi)^\vee(c_v)$ . We can, and shall, replace  $\tilde{c}_v$  by a scalar multiple so that  $\tilde{c}_v^2 = 1$ , so that  $\tilde{c}_v = \pm r_{l,i}(\Pi)^\vee(c_v)$ . We finally have our geometric realization of  $r_{l,i}(\Pi)(c_v)$ . To prove our proposition it suffices to check that the trace of  $\tilde{c}_v$  on

$$\text{Hom}_{G(\mathbb{A}^\infty)}(i^{-1}\pi, H^{n-1}(X, \mathcal{L}_\xi))$$

is  $\pm 1$ . This we will do in the next section by working with the variation of Hodge structure analogue of our  $l$ -adic sheaves.

### 3 Calculation of the trace of $\tilde{c}_v$ .

We must recall an alternative construction of the sheaves  $\mathcal{L}_\xi$ ,  $\mathcal{L}_{\xi^\#}$  and  $\mathcal{L}_\zeta$ , which will make sense also for variations of Hodge structures. First we recall the theory of Young symmetrizers.

#### 3.1 Young symmetrizers.

Let  $k$  denote a field of characteristic 0 and let  $\mathcal{C}$  denote a Tannakian category over  $k$  in the terminology of [D]. Suppose that  $e = (e_1, \dots, e_n) \in \mathbb{Z}^n$  satisfies  $e_1 \geq e_2 \geq \dots \geq e_n \geq 0$ . Let  $S_e$  denote the symmetric group on the set  $\mathcal{T}_e$  of pairs of integers  $(i, j)$  with  $1 \leq i \leq n$  and  $1 \leq j \leq e_i$ . Let  $S_e^+$  denote the subgroup of  $S_e$  consisting of elements  $\sigma$  with  $\sigma(i, j) = (i, j')$  some  $j'$  and let  $S_e^-$  denote the subgroup of  $S_e$  consisting of elements  $\sigma$  with  $\sigma(i, j) = (i', j)$  for some  $i'$ . Further we set

$$A_e^\pm = \sum_{\sigma \in S_e^\pm} (\pm)^\sigma \sigma \in \mathbb{Q}[S_e],$$

where  $(+)^sigma = 1$  and  $(-)^sigma$  denotes the sign of  $\sigma$ . Note that  $(A_e^\pm)^2 = (\#S_e^\pm)A_e^\pm$  and  $(A_e^+A_e^-)^2 = m(e)(A_e^+A_e^-)$  and  $(A_e^-A_e^+)^2 = m(e)(A_e^-A_e^+)$  for some non-zero integer  $m(e)$ . If  $W$  is an object of  $\mathcal{C}$  we define

$$\mathcal{S}_e(W) = W^{\otimes \mathcal{T}_e} A_e^+ A_e^-$$

where  $S_e$  acts on  $W^{\mathcal{T}_e}$  from the right by

$$(\otimes_{t \in \mathcal{T}_e} w_t)h = \otimes_{t \in \mathcal{T}_e} w_{ht}.$$

Then  $\mathcal{S}_e$  is a functor from  $\mathcal{C}$  to itself. Note that  $\mathcal{S}_{(1,\dots,1)}(W) = \wedge^n W$ . Right multiplication by  $A_e^+$  defines an isomorphism

$$\mathcal{S}_e(W) \xrightarrow{\sim} W^{\otimes \mathcal{T}_e} A_e^- A_e^+,$$

with inverse given by right multiplication by  $m(e)^{-1} A_e^-$ . Thus we get natural isomorphisms

$$\mathcal{S}_e(W)^\vee = (W^{\otimes \mathcal{T}_e} A_e^+ A_e^-)^\vee \xrightarrow{\sim} (W^\vee)^{\otimes \mathcal{T}_e} A_e^- A_e^+ \xrightarrow{\sim} \mathcal{S}_e(W^\vee).$$

Let  $e' = (e_1 + 1, \dots, e_n + 1)$ . Let

$$\iota : \mathcal{T}_{e'} \xrightarrow{\sim} \mathcal{T}_{(1,\dots,1)} \coprod \mathcal{T}_e$$

be the bijection which sends  $(i, 1)$  to  $(i, 1)$  in the first part and, if  $j > 1$ , sends  $(i, j)$  to  $(i, j - 1)$  in the second part. Then  $\iota$  induces an isomorphism

$$\iota^* : W^{\otimes n} \otimes W^{\otimes \mathcal{T}_e} \longrightarrow W^{\otimes \mathcal{T}_{e'}}.$$

Note that

$$A_{e'}^+ \circ \iota^* \circ (A_{(1,\dots,1)}^- \otimes A_e^- A_e^+) = (\#S_e^+)(A_{e'}^- A_{e'}^+) \circ \iota^*$$

so that we get a natural surjection

$$(\wedge^n W) \otimes \mathcal{S}_e(W) \xrightarrow{\sim} W^{\otimes n} A_{(1,\dots,1)}^- \otimes W^{\otimes \mathcal{T}_e} A_e^- A_e^+ \twoheadrightarrow W^{\otimes \mathcal{T}_{e'}} A_{e'}^- A_{e'}^+ \xrightarrow{\sim} \mathcal{S}_{e'}(W),$$

where the middle map is  $A_{e'}^+ \circ \iota^*$ . If  $W$  has rank  $n$  then this map is an isomorphism. (This can be checked after applying a fibre functor where one can either count dimension, or use the fact that the map is  $GL(W)$  equivariant and  $(\wedge^n W) \otimes \mathcal{S}_e(W)$  is an irreducible  $GL(W)$ -module.) Thus for any  $e = (e_1, \dots, e_n) \in (\mathbb{Z}^n)^+$  and any  $W$  of rank  $n$  we can define

$$\mathcal{S}_e(W) = \mathcal{S}_{e'}(W) \otimes (\wedge^n W)^{\otimes -f}$$

where  $f \in \mathbb{Z}$  satisfies  $f \geq -e_n$  and where  $e' = (e_1 + f, \dots, e_n + f)$ . We see that up to natural isomorphism this does not depend on the choice of  $f$ .

**Lemma 3.1** *If  $e \in (\mathbb{Z}^n)^+$  equals  $(e_1, \dots, e_n)$  set  $e^* = (-e_n, \dots, -e_1) \in (\mathbb{Z}^n)^+$ . If  $W$  has rank  $n$  then there are natural isomorphisms*

$$\mathcal{S}_{e+(f,f,\dots,f)}(W) \cong \mathcal{S}_e(W) \otimes \mathcal{S}_{(f,f,\dots,f)}(W)$$

and

$$\mathcal{S}_e(W) \cong \mathcal{S}_{e^*}(W^\vee).$$

*Proof:* The first assertion has already been proved so we turn to the second. We may reduce to the case  $e_n \geq 0$  and we may choose  $f \in \mathbb{Z}_{\geq e_1}$ . Set  $e' = (f - e_n, \dots, f - e_1)$ . Then it will suffice to show that

$$S_e(W) \cong S_{e'}(W)^\vee \otimes (\wedge^n W)^{\otimes f}.$$

It even suffices to find a nontrivial natural map

$$S_e(W) \otimes S_{e'}(W) \longrightarrow (\wedge^n W)^{\otimes f} = (W^{\otimes \mathcal{T}_{(f, \dots, f)}}) A_{(f, \dots, f)}^-.$$

(For this then gives a non-trivial natural map  $S_e(W) \rightarrow S_{e'}(W)^\vee \otimes (\wedge^n W)^{\otimes f}$ , which we can check is an isomorphism after applying a fibre functor, in which case the left and right hand sides become irreducible  $GL(W)$ -modules.) To this end let  $\iota$  denote the bijection

$$\iota : \mathcal{T}_{(f, \dots, f)} \xrightarrow{\sim} \mathcal{T}_e \amalg \mathcal{T}_{e'}$$

which sends  $(i, j)$  to  $(i, j)$  if  $j \leq e_i$  and to  $(n + 1 - i, f + 1 - i)$  if  $j > e_i$ , and let  $\iota^*$  denote the induced map

$$W^{\otimes \mathcal{T}_e} \otimes W^{\otimes \mathcal{T}_{e'}} \xrightarrow{\sim} W^{\otimes \mathcal{T}_{(f, \dots, f)}}.$$

Then we consider the map

$$A_{(f, \dots, f)}^- \circ \iota^* : S_e(W) \otimes S_{e'}(W) \longrightarrow S_{(f, \dots, f)}(W).$$

We must show that if  $W$  has rank  $n$  then this map is non-trivial. We can reduce this to the case of  $\overline{\mathbb{Q}}$ -vector spaces by applying a fibre functor. In this case let  $w_1, \dots, w_n$  be a basis of  $W$ . Consider the element

$$x = (\otimes_{\mathcal{T}_e} u_t) A_e^- \otimes (\otimes_{\mathcal{T}_{e'}} v_t) A_{e'}^- \in W^{\otimes \mathcal{T}_e} \otimes W^{\otimes \mathcal{T}_{e'}}$$

where  $u_{(i,j)} = w_i$  and  $v_{(i,j)} = w_{n+1-i}$ . Then

$$\begin{aligned} & (\iota^* x) A_{(f, \dots, f)}^- \\ &= \left( \prod_{i=1}^f (\#\{j : e_j < i\})! (\#\{j : e_j \geq i\})! \right) (\otimes_{\mathcal{T}_{(f, \dots, f)}} x_t) A_{(f, \dots, f)}^- \\ &\neq 0, \end{aligned}$$

where  $x_{(i,j)} = w_i$ . The lemma follows.  $\square$

## 3.2 The relative cohomology of $\mathcal{A}/X_U$ .

If  $\varpi$  denotes the projection map from the universal abelian variety  $\mathcal{A}$  to  $X_U$  then we decompose

$$R^1\varpi_*\overline{\mathbb{Q}}_l = \bigoplus_{\tau \in \text{Hom}(F, \mathbb{C})} \mathcal{L}_\tau$$

where  $\mathcal{L}_\tau$  is the sub-sheaf of  $R^1\varpi_*\overline{\mathbb{Q}}_l$  where the action of  $F$  coming from the endomorphisms of the universal abelian variety is via  $\iota^{-1}\tau$ . The sheaves  $\mathcal{L}_\tau$  on the inverse system of the  $X_U$ 's carry a natural action of  $G(\mathbb{A}^\infty)$  (coming from the action of  $G(\mathbb{A}^\infty)$  on the inverse system of the  $\mathcal{A}/X_U$ ). Let  $\text{Std}_\tau$  denote the representation of  $G \times_{\mathbb{Q}} \mathbb{C}$  on  $V \otimes_{F, \tau} \mathbb{C}$ , so that  $\text{Std}_{\tau c} \cong \nu \text{Std}_\tau^\vee$ . Then  $\mathcal{L}_\tau \cong \mathcal{L}_{\text{Std}_\tau^\vee}$  with the  $G(\mathbb{A}^\infty)$ -actions. We also define an action of  $G(\mathbb{A}^\infty)$  on the sheaves  $\overline{\mathbb{Q}}_l(1)$  by letting  $g : g^*\overline{\mathbb{Q}}_l(1) \rightarrow \overline{\mathbb{Q}}_l(1)$  be  $\nu(g_l)^{-1}$  times the canonical map. Then  $\mathcal{L}_{\nu^m} \cong \overline{\mathbb{Q}}_l(-m)$  with the  $G(\mathbb{A}^\infty)$ -actions. Moreover the Weil pairing gives  $G(\mathbb{A}^\infty)$ -equivariant isomorphisms

$$\mathcal{L}_\tau \cong \mathcal{L}_{\tau c}^\vee \otimes \overline{\mathbb{Q}}_l(1)$$

corresponding to  $\mathcal{L}_{\text{Std}_\tau^\vee} \cong \mathcal{L}_{\text{Std}_{\tau c}} \otimes \mathcal{L}_{\nu^{-1}}$ .

Suppose that  $\tilde{\xi}$  is an irreducible representation of  $G \times_{\mathbb{Q}} \mathbb{C}$  with highest weight  $(\tilde{b}_0, \tilde{b}_{\tau, i})_{\tau|E=\tau_0|E}$ . Then we see that

$$\mathcal{L}_{\tilde{\xi}} \cong \left( \bigotimes_{\tau|E=\tau_0|E} S_{(\tilde{b}_{\tau, 1}, \dots, \tilde{b}_{\tau, n})}(\mathcal{L}_\tau^\vee) \right) \otimes \overline{\mathbb{Q}}(-\tilde{b}_0),$$

with their  $G(\mathbb{A}^\infty)$ -actions.

Note that there are natural isomorphisms  $I^*\mathcal{L}_\tau \cong \mathcal{L}_{\tau c}$  and hence, by lemma 3.1, natural isomorphisms

$$\begin{aligned} & I^* \left( \bigotimes_{\tau|E=\tau_0|E} S_{(\tilde{b}_{\tau, 1}, \dots, \tilde{b}_{\tau, n})}(\mathcal{L}_\tau^\vee) \right) \otimes \overline{\mathbb{Q}}(-\tilde{b}_0) \\ & \cong \left( \bigotimes_{\tau|E=\tau_0|E} S_{(\tilde{b}_{\tau, 1}, \dots, \tilde{b}_{\tau, n})}(\mathcal{L}_{\tau c}^\vee) \right) \otimes \overline{\mathbb{Q}}(-\tilde{b}_0) \\ & \cong \left( \bigotimes_{\tau|E=\tau_0|E} S_{(\tilde{b}_{\tau, 1}, \dots, \tilde{b}_{\tau, n})}(\mathcal{L}_\tau(-1)) \right) \otimes \overline{\mathbb{Q}}(-\tilde{b}_0) \\ & \cong \left( \bigotimes_{\tau|E=\tau_0|E} S_{(-\tilde{b}_{\tau, n}, \dots, -\tilde{b}_{\tau, 1})}(\mathcal{L}_\tau^\vee) \right) \otimes \overline{\mathbb{Q}}(-\tilde{b}_0 + \sum_{\tau|E=\tau_0|E} \sum_i b_{\tau, i}). \end{aligned}$$

This isomorphism coincides up to scalar multiples with our previous isomorphism  $I^*\mathcal{L}_{\tilde{\xi}} \cong \mathcal{L}_{\tilde{\xi}^\#}$ .

### 3.3 Betti realizations.

Fix  $\sigma : \bar{F} \hookrightarrow \mathbb{C}$  which gives rise to our infinite place  $v$  of  $\bar{F}$  and suppose that  $\sigma|_E = \tau_0|_E$ . Set  $X_{U,\sigma}(\mathbb{C})$  to be the complex manifold  $(X_U \times_{F,\sigma} \mathbb{C})(\mathbb{C})$ . If  $\tau : F \hookrightarrow \mathbb{C}$  let  $L_\tau$  denote the maximal subsheaf of  $R^1\varpi_*\mathbb{C}$  on  $X_{U,\sigma}(\mathbb{C})$  where the action of  $F$  from endomorphisms of the universal abelian variety is via  $\tau$ . The system of locally constant sheaves  $L_\tau$  have a natural action of  $G(\mathbb{A}^\infty)$ . Also let  $\mathbb{C}(1)$  denote the constant sheaf and endow the system of sheaves  $\mathbb{C}(1)/X_{U,\sigma}(\mathbb{C})$  with an action of  $G(\mathbb{A}^\infty)$  by letting  $g : g^*\mathbb{C}(1) \rightarrow \mathbb{C}(1)$  be  $|\nu(g)|^{-1}$  times the natural map. Then

$$L_\tau \cong L_{\tau c}^\vee \otimes \mathbb{C}(1).$$

If  $\tilde{\xi}$  is the irreducible representation of  $G \times_{\mathbb{Q}} \mathbb{C}$  having as highest weight  $(\tilde{b}_0, \tilde{b}_{\tau,i})_{\tau|_E=\tau_0|_E}$ , then we define a locally constant sheaf of finite dimensional  $\mathbb{C}$ -vector spaces  $L_{\tilde{\xi}}$  on  $X_{U,\sigma}(\mathbb{C})$  as

$$\left( \bigotimes_{\tau|_E=\tau_0|_E} S_{(\tilde{b}_{\tau,1}, \dots, \tilde{b}_{\tau,n})}(L_\tau^\vee) \right) \otimes \mathbb{C}(-\tilde{b}_0).$$

Then  $L_{\tilde{\xi}}$  is the locally constant sheaf associated to the pull back of  $\mathcal{L}_{\tilde{\xi}}$  to  $X_U \times_{F,\sigma} \mathbb{C}$ , thought of as a sheaf of  $\mathbb{C}$ -vector spaces via  $\iota^{-1}$ . This correspondence is  $G(\mathbb{A}^\infty)$ -equivariant. Note that by lemma 3.1 if  $\tilde{\xi}'$  is one dimensional then

$$L_{\tilde{\xi}} \otimes L_{\tilde{\xi}'} \xrightarrow{\sim} L_{\tilde{\xi} \otimes \tilde{\xi}'}$$

Let  ${}^c X_{U,\sigma}(\mathbb{C})$  denote the complex conjugate complex manifold of  $X_{U,\sigma}(\mathbb{C})$  (i.e. the same topological space but with complex conjugate charts). Then  $I \times c$  induces an isomorphism

$$I \times c : X_{U,\sigma}(\mathbb{C}) \xrightarrow{\sim} {}^c X_{U,\sigma}(\mathbb{C}).$$

As we described above in the  $l$ -adic setting, lemma 3.1 together with the isomorphisms  $L_\tau \cong L_{\tau c}^\vee \otimes \mathbb{C}(1)$  gives rise to an isomorphism

$$(I \times c)^* L_{\tilde{\xi}} \cong L_{\tilde{\xi}^\#}$$

compatible with the corresponding isomorphism in the  $l$ -adic setting ( $I^* \mathcal{L}_{\tilde{\xi}} \cong \mathcal{L}_{\tilde{\xi}^\#}$ ).

We set

$$H^i(X_\sigma(\mathbb{C}), L_{\tilde{\xi}}) = \lim_{\rightarrow U} H^i(X_{U,\sigma}(\mathbb{C}), L_{\tilde{\xi}})$$



which is naturally a  $G(\mathbb{A}^\infty)$ -module and which satisfies

$$H^i(X_\sigma(\mathbb{C}), L_{\tilde{\zeta}}) \cong H^i(X, \mathcal{L}_{\tilde{\zeta}}) \otimes_{\overline{\mathbb{Q}_l, \iota}} \mathbb{C}$$

as  $\mathbb{C}[G(\mathbb{A}^\infty)]$ -modules. Again as in the  $l$ -adic setting we have a decomposition

$$H^0(X_\sigma(\mathbb{C}), L_\zeta) = \bigoplus_{\tilde{\omega}} \mathbb{C} v_{\tilde{\omega}, B},$$

where  $\tilde{\omega}$  runs over continuous characters

$$T(\mathbb{A})/T(\mathbb{Q}) \longrightarrow \mathbb{C}^\times$$

with  $\tilde{\omega}|_{T(\mathbb{R})} = \zeta^{-1}$ , and where  $G(\mathbb{A}^\infty)$  acts on  $v_{\tilde{\omega}, B}$  via  $\tilde{\omega} \circ d$ . If we define

$$I_{v, B} : H^i(X_\sigma(\mathbb{C}), L_\xi) \longrightarrow H^i(X_\sigma(\mathbb{C}), L_\xi)$$

to be the composite

$$H^i(X_\sigma(\mathbb{C}), L_\xi) \xrightarrow{I \times c} H^i(X_\sigma(\mathbb{C}), L_{\xi\#}) \xrightarrow{\cup v_{\omega, B}} H^i(X_\sigma(\mathbb{C}), L_\xi).$$

Then under the isomorphism  $H^i(X_\sigma(\mathbb{C}), L_\xi) \cong H^i(X, \mathcal{L}_\xi) \otimes_{\overline{\mathbb{Q}_l, \iota}} \mathbb{C}$ , this map  $I_{v, B}$  corresponds to a scalar multiple of the previous map  $I_v \otimes 1$ .

Again we can define a map  $\tilde{c}_{v, B}$  on

$$\mathrm{Hom}_{G(\mathbb{A}^\infty)}(\pi, H^{n-1}(X_\sigma(\mathbb{C}), L_\xi) \cong \mathbb{C}^n$$

to be the map which sends

$$f \longmapsto I_{v, B} \circ f \circ A_\pi.$$

Then  $\tilde{c}_{v, B}$  corresponds to a scalar multiple of the map  $\tilde{c}_v$  previously defined on  $\mathrm{Hom}_{G(\mathbb{A}^\infty)}(\iota^{-1}\pi, H^{n-1}(X, \mathcal{L}_\xi))$ . Rescaling  $\tilde{c}_{v, B}$  we may, and shall, suppose that  $\tilde{c}_{v, B}^2 = 1$ , in which case it corresponds to  $\pm \tilde{c}_v$ . Then it suffices to show that the trace of  $\tilde{c}_{v, B}$  is  $\pm 1$ .

### 3.4 Variation of Hodge structures.

Recall that a pure  $\mathbb{C}$ -Hodge structures of weight  $w$  is a  $\mathbb{C}$ -vector space  $H$  together with two decreasing, exhaustive and separated filtrations  $\mathrm{Fil}^i$  and  $\overline{\mathrm{Fil}}^i$  on  $H$  such that  $H = \mathrm{Fil}^i H \oplus \overline{\mathrm{Fil}}^{w-1-i} H$  for all  $i$ . If  $\mathbb{H} = (H, \{\mathrm{Fil}^i\}, \{\overline{\mathrm{Fil}}^i\})$  is a  $\mathbb{C}$ -Hodge structure we define  ${}^c\mathbb{H} = (H, \{\overline{\mathrm{Fil}}^i\}, \{\mathrm{Fil}^i\})$ . Recall also that a variation of pure  $\mathbb{C}$ -Hodge structures  $\mathbb{H}$  of weight  $w$  on a complex manifold  $Y$  is

a triple  $(H, \{\text{Fil}^i\}, \{\overline{\text{Fil}}^i\})$ , where  $H$  is a locally constant sheaf of finite dimensional  $\mathbb{C}$ -vector spaces, where  $\{\text{Fil}^i\}$  is an exhaustive, separated, decreasing filtration of  $H \otimes_{\mathbb{C}} \mathcal{O}_Y$  by local  $\mathcal{O}_Y$ -direct summands and where  $\{\overline{\text{Fil}}^i\}$  is an exhaustive, separated, decreasing filtration of  $H \otimes_{\mathbb{C}} \mathcal{O}_{cY}$  by local  $\mathcal{O}_{cY}$ -direct summands such that

- the pull back of  $\mathbb{H}$  to any point of  $Y$  is a pure  $\mathbb{C}$ -Hodge structure of weight  $w$ ,
- $1 \otimes d : \text{Fil}^i(H \otimes_{\mathbb{C}} \mathcal{O}_Y) \longrightarrow (\text{Fil}^{i-1}(H \otimes_{\mathbb{C}} \mathcal{O}_Y)) \otimes_{\mathcal{O}_Y} \Omega_Y^1$ ,
- and  $1 \otimes d : \overline{\text{Fil}}^i(H \otimes_{\mathbb{C}} \mathcal{O}_{cY}) \longrightarrow (\overline{\text{Fil}}^{i-1}(H \otimes_{\mathbb{C}} \mathcal{O}_{cY})) \otimes_{\mathcal{O}_{cY}} \Omega_{cY}^1$ .

The category of variations of pure  $\mathbb{C}$ -Hodge structures on  $Y$  is naturally a Tannakian category over  $\mathbb{C}$ . One can pull back variations of pure  $\mathbb{C}$ -Hodge structures and form their higher direct images under smooth projective morphisms. Pull backs preserve weight, while the  $i^{\text{th}}$  higher direct image of a variation of pure Hodge structures of weight  $w$  will have weight  $i + w$ . If  $\mathbb{H} = (H, \{\text{Fil}^i\}, \{\overline{\text{Fil}}^i\})$  is a variation of pure Hodge structures of weight  $w$  on  $Y$  then  ${}^c\mathbb{H} = (H, \{\overline{\text{Fil}}^i\}, \{\text{Fil}^i\})$  is a variation of pure Hodge structures of weight  $w$  on  ${}^cY$ .

For example we can extend  $\mathbb{C}(m)$  to a variation of pure  $\mathbb{C}$ -Hodge structures of weight  $-2m$  by setting  $\text{Fil}^i = (0)$  for  $i > -m$ ; setting  $\overline{\text{Fil}}^i = (0)$  for  $i > -m$  and setting  $\text{Fil}^i$  and  $\overline{\text{Fil}}^i$  to be everything for  $i \leq m$ . Moreover  $R^1\varpi_*\mathbb{C}$  is a variation of pure  $\mathbb{C}$ -Hodge structures of weight 1 on  $X_{U,\sigma}(\mathbb{C})$  and we can decompose

$$R^1\varpi_*\mathbb{C} = \bigoplus_{\tau \in \text{Hom}(F, \mathbb{C})} \mathbb{L}_{\tau}$$

where  $\mathbb{L}_{\tau}$  is a variation of pure  $\mathbb{C}$ -Hodge structures of weight 1 extending  $L_{\tau}$ . The projective system of variations of pure  $\mathbb{C}$ -Hodge structures  $\mathbb{L}_{\tau}/X_{U,\sigma}(\mathbb{C})$  as  $U$  varies has an action of  $G(\mathbb{A}^{\infty})$ . We have  $G(\mathbb{A}^{\infty})$ -equivariant isomorphisms

$$\mathbb{L}_{\tau} \cong \mathbb{L}_{\tau c}^{\vee} \otimes \mathbb{C}(1).$$

For  $\tilde{\xi}$  an irreducible representation of  $G \times_{\mathbb{Q}} \mathbb{C}$  with highest weight  $(\tilde{b}_0, \tilde{b}_{\tau,i})$ , we can then define a variation of pure  $\mathbb{C}$ -Hodge structures  $\mathbb{L}_{\tilde{\xi}}$  of weight  $2\tilde{b}_0 - \sum_{\tau|E=\tau_0|E} \sum_i \tilde{b}_{\tau,i}$  extending  $L_{\tilde{\xi}}$  by

$$\mathbb{L}_{\tilde{\xi}} = \left( \bigotimes_{\tau|E=\tau_0|E} S_{(\tilde{b}_{\tau,1}, \dots, \tilde{b}_{\tau,n})}(\mathbb{L}_{\tau}^{\vee}) \right) \otimes \mathbb{C}(-\tilde{b}_0).$$

Again the system  $\mathbb{L}_{\tilde{\xi}}/X_{U,\sigma}(\mathbb{C})$  has an action of  $G(\mathbb{A}^\infty)$ . Again by lemma 3.1 we see that if  $\tilde{\xi}'$  is one dimensional then there is a natural isomorphism

$$\mathbb{L}_{\tilde{\xi}} \otimes \mathbb{L}_{\tilde{\xi}'} \xrightarrow{\sim} \mathbb{L}_{\tilde{\xi} \otimes \tilde{\xi}'}$$

We set

$$H^i(X_\sigma(\mathbb{C}), \mathbb{L}_{\tilde{\xi}}) = \lim_{\rightarrow U} H^i(X_{U,\sigma}(\mathbb{C}), \mathbb{L}_{\tilde{\xi}}).$$

It is a direct limit of pure  $\mathbb{C}$ -Hodge structures with an action of  $G(\mathbb{A}^\infty)$ , such that the fixed subspace of any open subgroup of  $G(\mathbb{A}^\infty)$  is a (finite dimensional) pure  $\mathbb{C}$ -Hodge structure of weight  $w = i + 2\tilde{b}_0 - (\sum_{\tau|E=\tau_0|E} \sum_j \tilde{b}_{\tau,j})$ .

The map  $(I \times c) : X_{U,\sigma}(\mathbb{C}) \rightarrow {}^c X_{U,\sigma}(\mathbb{C})$  lifts to a map  $\mathcal{A}_\sigma(\mathbb{C}) \rightarrow {}^c \mathcal{A}_\sigma(\mathbb{C})$ . We deduce that there is a natural isomorphism

$$(I \times c)^* \mathbb{L}_\tau \cong {}^c \mathbb{L}_{\tau c},$$

and hence applying lemma 3.1 and the isomorphism  $\mathbb{L}_\tau \cong \mathbb{L}_{\tau c}^\vee \otimes \mathbb{C}(1)$  we get natural isomorphisms

$$(I \times c)^* \mathbb{L}_{\tilde{\xi}} \cong {}^c \mathbb{L}_{\tilde{\xi} \#}$$

extending our previous isomorphism  $(I \times c)^* L_{\tilde{\xi}} \cong L_{\tilde{\xi} \#}$ . Thus we get maps

$$H^i(X_\sigma(\mathbb{C}), \mathbb{L}_{\tilde{\xi}}) \longrightarrow H^i({}^c X_\sigma(\mathbb{C}), {}^c \mathbb{L}_{\tilde{\xi} \#}) \cong {}^c H^i(X_\sigma(\mathbb{C}), \mathbb{L}_{\tilde{\xi} \#}).$$

The line  $\mathbb{C}v_{\omega,B}$  is a sub-pure  $\mathbb{C}$ -Hodge structure of  $H^0({}^c X_\sigma(\mathbb{C}), {}^c \mathbb{L}_\zeta)$ . Thus the cup product map

$$\cup v_{\omega,B} : {}^c \mathbb{L}_{\xi \#} \longrightarrow {}^c \mathbb{L}_\xi$$

is a map of variations of pure  $\mathbb{C}$ -Hodge structures. Thus the map

$$I_{v,B} : H^i(X_\sigma(\mathbb{C}), L_\xi) \longrightarrow H^i(X_\sigma(\mathbb{C}), L_\xi)$$

extends to a map of pure  $\mathbb{C}$ -Hodge structures

$$I_{v,B} : H^i(X_\sigma(\mathbb{C}), \mathbb{L}_\xi) \longrightarrow H^i({}^c X_\sigma(\mathbb{C}), {}^c \mathbb{L}_\xi) \cong {}^c H^i(X_\sigma(\mathbb{C}), \mathbb{L}_\xi).$$

Hence  $\tilde{c}_{v,B}$  extends to a map of pure  $\mathbb{C}$ -Hodge structures:

$$\tilde{c}_{v,B} : \text{Hom}_{G(\mathbb{A}^\infty)}(\pi, H^{n-1}(X_\sigma(\mathbb{C}), \mathbb{L}_\xi)) \longrightarrow {}^c \text{Hom}_{G(\mathbb{A}^\infty)}(\pi, H^{n-1}(X_\sigma(\mathbb{C}), \mathbb{L}_\xi)),$$

with  $\tilde{c}_{v,B}^2 = 1$ . If  $i$  is the largest integer strictly less than  $w/2$  we see that  $\tilde{c}_{v,B}$  interchanges

$$\text{Fil}^i \text{Hom}_{G(\mathbb{A}^\infty)}(\pi, H^{n-1}(X_\sigma(\mathbb{C}), \mathbb{L}_\xi))$$

and

$$\overline{\text{Fil}}^i \text{Hom}_{G(\mathbb{A}^\infty)}(\pi, H^{n-1}(X_\sigma(\mathbb{C}), \mathbb{L}_\xi))$$

and that these two spaces have trivial intersection. We deduce that

$$\begin{aligned} |\text{tr } \tilde{c}_{v,B}| &\leq n - 2 \dim_{\mathbb{C}} \text{Fil}^i \text{Hom}_{G(\mathbb{A}^\infty)}(\pi, H^{n-1}(X_\sigma(\mathbb{C}), \mathbb{L}_\xi)) \\ &= \dim_{\mathbb{C}} \text{Fil}^{w-1-i} \text{Hom}_{G(\mathbb{A}^\infty)}(\pi, H^{n-1}(X_\sigma(\mathbb{C}), \mathbb{L}_\xi)) \\ &\quad - \dim_{\mathbb{C}} \text{Fil}^i \text{Hom}_{G(\mathbb{A}^\infty)}(\pi, H^{n-1}(X_\sigma(\mathbb{C}), \mathbb{L}_\xi)) \\ &= \dim_{\mathbb{C}} \text{gr}^{w/2} \text{Hom}_{G(\mathbb{A}^\infty)}(\pi, H^{n-1}(X_\sigma(\mathbb{C}), \mathbb{L}_\xi)), \end{aligned}$$

where we would interpret this as 0 if  $w$  were odd.

Cupping with  $\nu_{\delta_{E/\mathbb{Q}} \circ \nu, B}$  shows that

$$\begin{aligned} &\dim_{\mathbb{C}} \text{gr}^{w/2} \text{Hom}_{G(\mathbb{A}^\infty)}(\pi, H^{n-1}(X_\sigma(\mathbb{C}), \mathbb{L}_\xi)) \\ &= \dim_{\mathbb{C}} \text{gr}^{w/2} \text{Hom}_{G(\mathbb{A}^\infty)}(\pi \otimes (\delta_{E/\mathbb{Q}} \circ \nu), H^{n-1}(X_\sigma(\mathbb{C}), \mathbb{L}_\xi)). \end{aligned}$$

Thus it suffices to show that

$$\dim_{\mathbb{C}} \bigoplus_{\text{BC}(\tilde{\pi})=(\psi^\infty, \Pi_F^\infty \otimes \phi^\infty)} \text{gr}^{w/2} \text{Hom}_{G(\mathbb{A}^\infty)}(\pi, H^{n-1}(X_\sigma(\mathbb{C}), \mathbb{L}_\xi)) \leq 2.$$

However the proof of corollary 6.7 of [Sh] shows this. (Note that the constant  $C_G = \tau(G) \# \ker^1(\mathbb{Q}, G)$  of [Sh] in our case equals 2.) So we have finally completed the proof of proposition 1.2.

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