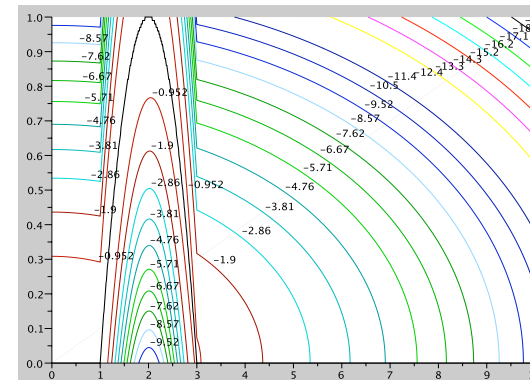
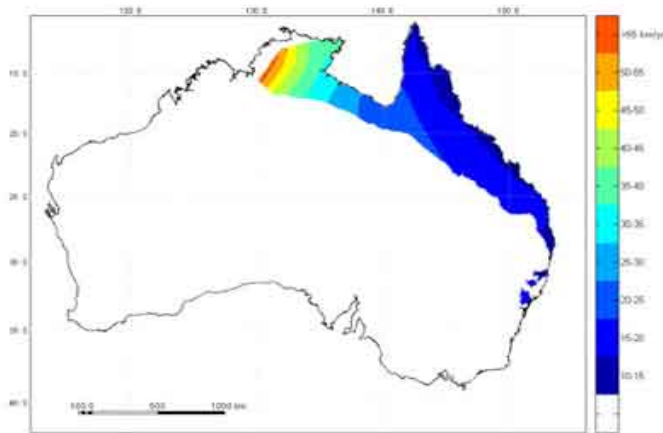




Adaptive evolution : a population approach

Benoît Perthame



OUTLINE OF THE LECTURE

Interaction between a physiological trait and space

- I. Space and physiological trait
- II. Selection of dispersal (bounded domain)
- III. Selection of dispersal (full space)

See also [A. Arnold](#), [L. Desvilletes](#), [C. Prevost](#), talk of [K.-Y. Lam](#)

Setting the model

Adaptation to the environment in a spatial ecology model

- $x \in \Omega$ space variable
- $\theta \in \Theta$ physiological trait

$$\partial_t n(x, \theta, t) = \overbrace{D \partial_{xx}^2 n(x, \theta, t)}^{\text{dispersion/motility}} + \overbrace{\alpha \partial_{\theta\theta}^2 n(x, \theta, t)}^{\text{mutations}} + \overbrace{n(x, \theta, t) (K(x, \theta) - \rho(x, t))}^{\text{reproduction}}$$

$$\rho(x, t) = \int_0^\infty n(x, \theta, t) d\theta$$

This is still an advantage on reproductive rate.

Question : (Bouin, Mirrahimi) What is the speed of a traveling wave ?

Evolution of Dispersal

Question Selection without a proliferative advantage ?

The context of Hastings, Dockery, Lou, Kim :

- no advantage regarding the reproductive rate $K(x)$
- motility of individuals is subject to selection and mutations

Called : Spatial sorting

Evolution of Dispersal

We model it for $x \in \Omega$, $\theta > 0$ + Neuman

$$\partial_t n(x, \theta, t) \overset{\text{dispersion/motility}}{=} \theta \partial_{xx}^2 n(x, \theta, t) \overset{\text{reproduction}}{+} n(x, \theta, t) (K(x) - \rho(x, t)) \overset{\text{mutations on motility}}{+} \varepsilon^2 \partial_{\theta\theta}^2 n(x, \theta, t)$$

$$\rho(x, t) = \int_0^\infty n(x, \theta, t) d\theta$$

Remark : Parameters as θ are not given they are selected

Question : which dispersal rate θ is selected ?

Evolution of Dispersal

We can again ask the question of rare mutations

$$\varepsilon \partial_t n_\varepsilon(x, \theta, t) = \theta \partial_{xx}^2 n_\varepsilon(x, \theta, t) + n_\varepsilon(x, \theta, t) (K(x) - \rho_\varepsilon(x, t)) + \varepsilon^2 \partial_{\theta\theta}^2 n_\varepsilon(x, \theta, t)$$

$$\rho_\varepsilon(x, t) = \int_0^\infty n_\varepsilon(x, \theta, t) d\theta$$

Can we argue with the same argument as for proliferative advantage?

Evolution of Dispersal

We can again ask the question of rare mutations

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$$\rho_\varepsilon(x, t) = \int_0^\infty n_\varepsilon(x, \theta, t) d\theta$$

For ε small, $n_\varepsilon(x, \theta, t) \geq 0$

$$\begin{cases} \theta \partial_{xx}^2 n_\varepsilon(x, \theta, t) + n_\varepsilon(x, \theta, t) (K(x) - \rho_\varepsilon(x, t)) = 0 \\ + \text{Neuman boundary condition} \end{cases}$$

the first eigenvalue $H(\theta, \langle \rho_\varepsilon(\cdot, t) \rangle)$ vanishes. Therefore

$$n_\varepsilon(x, \theta, t) \approx N(x, t) \delta(\theta = \bar{\theta}(t)),$$

Evolution of Dispersal

We can again ask the question of rare mutations

$$\varepsilon \partial_t n_\varepsilon(x, \theta, t) = \theta \partial_{xx}^2 n_\varepsilon(x, \theta, t) + n_\varepsilon(x, \theta, t) (K(x) - \rho_\varepsilon(x, t)) + \varepsilon^2 \partial_{\theta\theta}^2 n_\varepsilon(x, \theta, t)$$

$$\rho_\varepsilon(x, t) = \int_0^\infty n_\varepsilon(x, \theta, t) d\theta$$

When a mutant has an advantage, it diffuses everywhere and invades the domain Ω

Therefore we expect (as before) that

$$n_\varepsilon(x, \theta, t) \approx N(x, t) \delta(\theta = \bar{\theta}(t)),$$

Evolution of Dispersal

The Gaussian approximation to $n_\varepsilon(x, \theta, t) \approx N(x, t)\delta(\theta = \bar{\theta}(t))$,

$$n_\varepsilon(x, \theta, t) \approx N_\varepsilon(x, t)e^{\frac{\varphi_\varepsilon(\theta, t)}{\varepsilon}},$$

a corrector as in homogenization.

The dominant terms in the expansion are

$$\partial_t \varphi(\theta, t) = |\nabla_\theta \varphi|^2 + \theta \partial_{xx}^2 N_\varepsilon(x, \theta, t) + N_\varepsilon(K(x) - \rho_\varepsilon(x, t)) + O(\varepsilon)$$

Define the effective Hamiltonian $H(\theta, t)$ as the principal eigenvalue

$$\begin{cases} \theta \partial_{xx}^2 N_\varepsilon(x, \theta, t) + N_\varepsilon(K(x) - \rho_\varepsilon(x, t)) = H(\theta, \langle \rho_\varepsilon(\cdot, t) \rangle) N_\varepsilon & x \in \Omega \\ + \text{Neuman boundary condition} \end{cases}$$

Evolution of Dispersal

We get

$$\partial_t \varphi(\theta, t) = |\nabla_{\theta} \varphi|^2 + \theta \partial_{xx}^2 N_{\varepsilon}(x, \theta, t) + N_{\varepsilon}(K(x) - \rho_{\varepsilon}(x, t)) + O(\varepsilon)$$

$$\theta \partial_{xx}^2 N_{\varepsilon}(x, \theta, t) + N_{\varepsilon}(K(x) - \rho_{\varepsilon}(x, t)) = H(\theta, \langle \rho_{\varepsilon}(\cdot, t) \rangle) N_{\varepsilon} \quad x \in \Omega$$

therefore the limit is

$$\begin{cases} \partial_t \varphi(t, \theta) = |\nabla_{\theta} \varphi|^2 + H(\theta, \langle \bar{\rho}(\cdot, t) \rangle) \\ \max_{\theta} \varphi(t, \theta) = 0 \quad = \varphi(t, \bar{\theta}(t)) \end{cases}$$

Evolution of Dispersal

We get

$$\partial_t \varphi(\theta, t) = |\nabla_{\theta} \varphi|^2 + \theta \partial_{xx}^2 N_{\varepsilon}(x, \theta, t) + N_{\varepsilon}(K(x) - \rho_{\varepsilon}(x, t)) + O(\varepsilon)$$

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To be compared to the 'usual constrained H.-J. equation

$$\begin{cases} \partial_t \varphi(t, \theta) = |\nabla_{\theta} \varphi|^2 + R(\theta, \varrho(t)) \\ \max_{\theta} \varphi(t, \theta) = 0 \quad = \varphi(t, \bar{\theta}(t)) \end{cases}$$

Evolution of Dispersal

How do we handle this? Along the dynamics

$$H(\bar{\theta}(t), \langle \bar{\rho}(\cdot, t) \rangle) = 0 \quad (\text{pessimism principle})$$

$$\rho_\varepsilon \approx N_\varepsilon(x, \bar{\theta}(t), t) := \bar{N}(x, t)$$

we can identify the limit of $N_\varepsilon(x, \theta, t)$ as the solution to

$$\begin{cases} -\bar{\theta}(t) \partial_{xx}^2 \bar{N} = \bar{N} (K(x) - \bar{N}(x, t)), & x \in \Omega \\ + \text{Neuman boundary condition} \end{cases}$$

Evolution of Dispersal

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What useful information do we conclude from this analysis?

$$\frac{d}{dt} \bar{\theta}(t) = (-D^2 \varphi)^{-1} \cdot \nabla_\theta H(\bar{\theta}(t), \langle \bar{\rho}(\cdot, t) \rangle)$$

Which behaviour?

Evolution of Dispersal

Theorem When $K \neq Cst$,

$$\nabla_{\theta} H(\bar{\theta}(t), \langle \bar{\rho}(\cdot, t) \rangle) < 0.$$

Evolution of Dispersal

Proof We can normalize the eigenvalue problem in x as

$$\theta \partial_{xx}^2 N_\varepsilon(x, \theta, t) + N_\varepsilon (K(x) - \rho_\varepsilon(x, t)) = H(\theta, \langle \rho_\varepsilon(\cdot, t) \rangle) N_\varepsilon, \quad \int_x N_\varepsilon^2 dx = Cst$$

Then

$$-\theta \int_x |\nabla N_\varepsilon(x, \theta, t)|^2 dx + \int_x N_\varepsilon^2 (K(x) - \rho_\varepsilon(x, t)) dx = H(\theta, \langle \rho(\cdot, t) \rangle)$$

$$-\int_x |\nabla N_\varepsilon|^2 dx - 2\theta \int_x \nabla N_{\varepsilon, \theta} \nabla N_\varepsilon + 2 \int_x N_\varepsilon N_{\varepsilon, \theta} (K - \rho_\varepsilon) dx = H_\theta(\theta, \langle \rho(\cdot, t) \rangle)$$

But at $\bar{\theta}(t)$ one has

$$-\theta \int_x \nabla N_{\varepsilon, \theta} \nabla N_\varepsilon + \int_x N_\varepsilon N_{\varepsilon, \theta} (K - \rho_\varepsilon) dx = H(\bar{\theta}, \langle \rho(\cdot, t) \rangle) = 0.$$

Therefore

$$-\int_x |\nabla N|^2 dx = H_\theta(\theta, \langle \rho(\cdot, t) \rangle) < 0.$$

Evolution of Dispersal

$$\frac{d}{dt}\bar{\theta}(t) = (-D^2\varphi)^{-1} \cdot \nabla_{\theta} H(\bar{\theta}(t), \langle \bar{\rho}(\cdot, t) \rangle)$$

$$\nabla_{\theta} H(\bar{\theta}(t), \langle \bar{\rho}(\cdot, t) \rangle) < 0$$

Conclusion

- $\bar{\theta}(t)$ decreases. Do not move in a bounded domain !
- Already known, but here we give the dynamics

Intuition :

- A mutant with small dispersal diffuses less
- wins advantage by staying near the maximum of $K(x)$

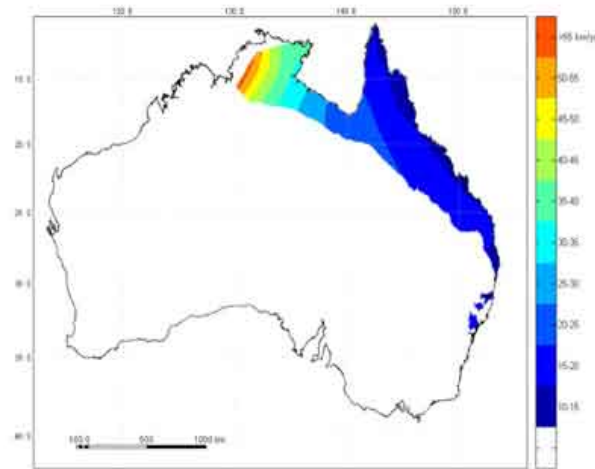
Accelerating waves

Conclusion Do not move in a bounded domain !

One can ask the same question for invasion fronts : $\Omega = \mathbb{R}^d$

Accelerating waves

Example of the cane toads invasion in Australia



Accelerating waves

In full space the solution is an invasion front à la Fisher/KPP for

$$\partial_t n(x, \theta, t) = \theta \partial_{xx}^2 n(x, \theta, t) + r n(x, \theta, t) (1 - \rho(x, t)) + \alpha \partial_{\theta\theta}^2 n(x, \theta, t)$$

$$\rho(x, t) = \int_0^\infty n(x, \theta, t) d\theta, \quad n(x = +\infty, t) = 0$$

Many rescalling possible

They always show that large values of θ are selected at the front of the wave.

Accelerating waves (scale 1)

Scaling 1 : Front in x , $\theta \in (0, \Theta)$

$$\varepsilon \partial_t n_\varepsilon(x, \theta, t) = \varepsilon^2 \theta \partial_{xx}^2 n_\varepsilon(x, \theta, t) + r n_\varepsilon(x, \theta, t) (1 - \rho_\varepsilon(x, t)) + \alpha \partial_{\theta\theta}^2 n_\varepsilon(x, \theta, t)$$

$$\rho_\varepsilon(x, t) = \int_0^\infty n(x, \theta, t) d\theta, \quad n_\varepsilon(x = +\infty, t) = 0$$

On the front, $n_\varepsilon \approx Q(\theta) e^{\lambda(x-ct)/\varepsilon}$

$$[\theta \lambda^2 + c\lambda + r]Q - \alpha \partial_{\theta\theta}^2 Q = 0$$

In other words, the principal eigenvalue is $c\lambda$ which gives both

$$c = c^*(\lambda), \quad Q(\theta, \lambda) = \text{eigenfunction}$$

Accelerating waves (scale 1)

It remains to compute λ by the standard approach through H.-J. equation (Barles, Evans, Souganidis)

$$n_\varepsilon(x, \theta, t) \approx e^{u(x,t)/\varepsilon} N_\varepsilon(x, \theta, t)$$

We find

$$\partial_t u_\varepsilon N_\varepsilon(x, \theta, t) + \theta |\partial_x u_\varepsilon|^2 N_\varepsilon = r(1 - \rho_\varepsilon) N + \alpha \partial_{\theta\theta}^2 N_\varepsilon$$

Therefore in the front $N_\varepsilon \approx Q$ and

$$\max \left(u, \partial_t u - c^*(\partial_x u) \partial_x u \right) = 0$$

In other words

$$c^*(\partial_x u) = \text{effective Hamiltonian}$$

Accelerating waves (scale 1)

Conclusion We can compute the speed of the front thanks to this H.-J. equation

With $\theta \in (0, \Theta)$

$$c^*(\partial_x u) \geq 2r\sqrt{\frac{\Theta}{2}} \quad \text{front is faster than the average}$$

$$c^*(\partial_x u) \xrightarrow{\alpha \rightarrow 0} 2r\sqrt{\Theta}$$

Accelerating waves (scale 2)

Scaling 2 : Front in x , small mutations

$$\varepsilon \partial_t n_\varepsilon(x, \theta, t) = \varepsilon^2 \theta \partial_{xx}^2 n_\varepsilon(x, \theta, t) + r n_\varepsilon(x, \theta, t) (1 - \rho_\varepsilon(x, t)) + \alpha \varepsilon^2 \partial_{\theta\theta}^2 n_\varepsilon(x, \theta, t)$$

$$\rho_\varepsilon(x, t) = \int_0^\infty n(x, \theta, t) d\theta, \quad n_\varepsilon(x = +\infty, t) = 0$$

Rationale behind this rescaling

$$\theta \approx \sqrt{\alpha r t}, \quad x_{front} \approx \sqrt{\theta} t \approx (\alpha r)^{1/4} t^{3/2}$$

(not the hyperbolic scaling)

$$(t, x, \theta) \rightarrow (t/\varepsilon, x/\varepsilon^{3/2}, \theta/\varepsilon).$$

Accelerating waves (scale 2)

Scaling 2 : Front in x , small mutations

$$\varepsilon \partial_t n_\varepsilon(x, \theta, t) = \varepsilon^2 \theta \partial_{xx}^2 n_\varepsilon(x, \theta, t) + r n_\varepsilon(x, \theta, t) (1 - \rho_\varepsilon(x, t)) + \alpha \varepsilon^2 \partial_{\theta\theta}^2 n_\varepsilon(x, \theta, t)$$

$$\rho_\varepsilon(x, t) = \int_0^\infty n(x, \theta, t) d\theta, \quad n_\varepsilon(x = +\infty, t) = 0$$

Use the Hopf-Cole/WKB change of variable $n_\varepsilon = e^{u_\varepsilon/\varepsilon}$

$$\begin{cases} \partial_t u = \theta |\partial_x u|^2 + \alpha |\partial_\theta u|^2 + r(1 - \rho(x, t)) \\ \max_\theta u(x, \theta, t) \leq 0 \quad 0 = u(x, \bar{\theta}(x, t), t) \end{cases}$$

The constraint can be inactive (extinction)

$$\max_\theta u(x, \theta, t) < 0 \quad \rho(x, t) = 0$$

Accelerating waves (scale 2)

Scaling 2 : Front in x , small mutations

$$\begin{cases} \partial_t u = \theta |\partial_x u|^2 + \alpha |\partial_\theta u|^2 + r(1 - \rho(x, t)) \\ \max_\theta u(x, \theta, t) \leq 0 \quad 0 = u(x, \bar{\theta}(x, t), t) \end{cases}$$

What is the canonical equation ! New phenomena : The canonical is a PDE

$$\partial_t u = \theta |\partial_x u|^2 + \alpha |\partial_\theta u|^2 + r(1 - \rho(x, t)) := R(x, \theta, t)$$

$$\partial_\theta u(x, \bar{\theta}(t), t) = 0.$$

$$\frac{\partial}{\partial t} \bar{\theta}(x, t) = -\frac{\partial_{\theta t} u}{\partial_{\theta\theta} u}, \quad \frac{\partial}{\partial x} \bar{\theta}(x, t) = -\frac{\partial_{\theta x} u}{\partial_{\theta\theta} u}$$

Accelerating waves (scale 2)

Scaling 2 : Front in x , small mutations

$$R_\theta = |\partial_x u|^2 + 2\theta \partial_x u \partial_{x\theta} u + 2\alpha \partial_\theta u \partial_{\theta\theta} u$$

$$\frac{\partial}{\partial t} \bar{\theta}(x, t) = -\frac{\partial_{\theta t} u}{\partial_{\theta\theta} u}, \quad \frac{\partial}{\partial x} \bar{\theta}(x, t) = -\frac{\partial_{\theta x} u}{\partial_{\theta\theta} u}$$

The canonical is a Burgers type equation

$$\frac{d}{dt} \bar{\theta}(x, t) = -\frac{|\partial_x u|^2 + 2\bar{\theta}(x, t) \partial_x u \partial_{x\theta} u}{\partial_{\theta\theta} u}$$

$$\frac{d}{dt} \bar{\theta}(x, t) - 2\partial_x u \bar{\theta}(x, t) \frac{\partial}{\partial x} \bar{\theta}(x, t) = \frac{|\partial_x u|^2}{-\partial_{\theta\theta} u} > 0$$

Numerically shocks are observed on the fittest traits.

Accelerating waves (scale ")

Scaling 3 : Traveling wave in x , small mutations

$$\varepsilon \partial_t n_\varepsilon(x, \theta, t) = \theta \partial_{xx}^2 n_\varepsilon(x, \theta, t) + r n_\varepsilon(x, \theta, t) (1 - \rho_\varepsilon(x, t)) + \alpha \varepsilon^2 \partial_{\theta\theta}^2 n_\varepsilon(x, \theta, t)$$

$$\rho_\varepsilon(x, t) = \int_0^\infty n(x, \theta, t) d\theta, \quad n_\varepsilon(x = +\infty, t) = 0$$

?

Other examples Selection of competitive/colonize phenotype in tumor growth (Orlando, Gatenby, Brown).

Collaborators for this programm

Vincent Calvez

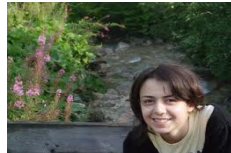


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