

MATH 249C. HOMEWORK 1

1. Let  $k$  be a field. An *algebraic torus* over  $k$  is a smooth affine  $k$ -group scheme  $T$  such that  $T_{\bar{k}} \simeq \mathrm{GL}_1^n$  as  $\bar{k}$ -groups for some  $n \geq 0$ .

(i) Explain how the  $\mathbf{R}$ -group  $G = \{x^2 + y^2 = 1\}$  is naturally a 1-dimensional algebraic torus over  $\mathbf{R}$ , with  $G_{\mathbf{C}} \simeq \mathrm{GL}_1$  defined by  $(x, y) \mapsto x + iy$ , with  $x, y$  viewed over  $\mathbf{C}$ , not  $\mathbf{R}$ . Describe the inverse isomorphism. (This example explains the reason for the name “algebraic torus”.)

(ii) Generalize to any separable quadratic extension of fields  $K/k$  in place of  $\mathbf{C}/\mathbf{R}$ .

2. Let  $C$  be a compact connected Riemann surface. Viewing holomorphic 1-forms on  $C$  as smooth  $\mathbf{C}$ -valued 1-forms on the underlying 2-dimensional  $\mathbf{R}$ -manifold, we get a natural map  $\Omega^1(C) \rightarrow \mathrm{H}^1(C, \mathbf{C}) = \mathrm{H}_1(C, \mathbf{C})^*$  via integration along cycles. The natural “complex conjugation” involution on  $\mathrm{H}^1(C, \mathbf{C}) = \mathbf{C} \otimes_{\mathbf{Q}} \mathrm{H}^1(C, \mathbf{Q})$  thereby defines a  $\mathbf{C}$ -linear map  $\bar{\Omega}^1(C) \rightarrow \mathrm{H}^1(C, \mathbf{C})$  (where the source is the space of “anti-holomorphic” 1-forms, locally given by  $\bar{f}(z) dz$  for holomorphic  $f$ ). Here,  $V^*$  is linear dual of a vector space  $V$ .

Hodge theory implies that the natural map  $\Omega^1(C) \oplus \bar{\Omega}^1(C) \rightarrow \mathrm{H}^1(C, \mathbf{C})$  is an isomorphism. Using this, prove that the natural map  $\mathrm{H}_1(C, \mathbf{Z}) \rightarrow \Omega^1(C)^*$  is a lattice (i.e.,  $\mathrm{H}_1(C, \mathbf{R}) \rightarrow \Omega^1(C)^*$  is an isomorphism).

3. Let  $X$  be a scheme locally of finite type over a field  $k$ .

(i) If  $X(k) \neq \emptyset$  and  $X$  is connected then prove that  $X$  is geometrically connected over  $k$ . (Hint: show that it suffices to prove  $X_K$  is connected for  $K/k$  finite with  $X$  of finite type over  $k$ . Then use that  $X_K \rightarrow X$  is open and closed for such  $K/k$ .)

(ii) Assume that  $k$  is algebraically closed and  $X$  is a group scheme over  $k$ . Prove that  $X_{\mathrm{red}}$  is smooth, and deduce that if  $X$  is connected and  $U$  and  $V$  are non-empty open subschemes then the multiplication map  $U \times V \rightarrow X$  is surjective. Deduce that for general  $k$ , if  $X$  is a (locally finite type) group scheme over  $k$  then it is connected if and only if it is geometrically irreducible over  $k$ , and that such  $X$  are of finite type (i.e., quasi-compact) over  $k$ .

4. Let  $X$  be a proper and geometrically integral scheme over a field  $k$ . Assume  $X(k) \neq \emptyset$  and choose  $e \in X(k)$ . Define the functor  $\mathrm{Pic}_{X/k}$  on the category of  $k$ -schemes to carry a  $k$ -scheme  $S$  to the group of isomorphism classes of pairs  $(\mathcal{L}, i)$  where  $\mathcal{L}$  is a line bundle on  $X_S$  and  $i : e_S^*(\mathcal{L}) \simeq \mathcal{O}_S$  is a trivialization of  $\mathcal{L}$  along  $e_S$ . It is a theorem of Grothendieck/Oort that this functor is represented by a  $k$ -group scheme locally of finite type. In particular, its identity component  $\mathrm{Pic}_{X/k}^0$  is a  $k$ -scheme of finite type (Exercise 3).

(i) Prove that if  $X$  is smooth and projective over  $k$  then  $\mathrm{Pic}_{X/k}$  satisfies the valuative criterion for properness (so  $\mathrm{Pic}_{X/k}^0$  is a proper  $k$ -scheme).

(ii) By computing with points valued in the dual numbers, and using Čech theory in degree 1, construct a natural  $k$ -linear isomorphism  $\mathrm{H}^1(X, \mathcal{O}_X) \simeq \mathrm{T}_0(\mathrm{Pic}_{X/k}^0) = \mathrm{T}_0(\mathrm{Pic}_{X/k})$ .

(iii) If  $X$  is smooth with dimension 1, prove that  $\mathrm{Pic}_{X/k}$  satisfies the infinitesimal smoothness criterion (for schemes locally of finite type over  $k$ ). Deduce that  $\mathrm{Pic}_{X/k}^0$  is an abelian variety of dimension equal to the genus of  $X$ .

5. Let  $C$  be a compact connected Riemann surface of genus  $g > 0$ ,  $c_0 \in C$ . Let  $J_C = \Omega^1(C)^*/\mathrm{H}_1(C, \mathbf{Z})$ , a complex torus by Exercise 2. Prove that the map of sets  $i_{c_0} : C \rightarrow J_C$  defined by  $c \mapsto \int_{c_0}^c \mathrm{mod} \mathrm{H}_1(C, \mathbf{Z})$  is complex-analytic and has smooth image over which  $C$  is a finite analytic covering space. Deduce that  $i_{c_0}$  is a closed embedding when  $g > 1$ , and prove that  $i_{c_0}$  is an isomorphism when  $g = 1$  by identifying  $\mathrm{H}_1(i_{c_0}, \mathbf{Z})$  with the identity map when  $g = 1$ .

6. Let  $X$  be a smooth, proper, geometrically connected curve of genus  $g > 0$  over a field  $k$ , and assume  $X(k) \neq \emptyset$ . Choose  $x_0 \in X(k)$ . Prove  $X \rightarrow \mathrm{Pic}_{X/k}$  defined on  $R$ -points (for a  $k$ -algebra  $R$ ) by  $x \mapsto \mathcal{O}(x) \otimes \mathcal{O}((x_0)_R)$  (where  $\mathcal{O}(x) := \mathcal{I}(x)^{-1}$  for the *invertible* ideal  $\mathcal{I}(x)$  of  $x : \mathrm{Spec}(R) \hookrightarrow X_R$ ) is a proper monomorphism, hence a closed immersion. (Hint: use  $g > 0$  to prove  $R \hookrightarrow \mathrm{H}^0(X_R, \mathcal{O}(x))$  is an equality via base change theorems, and deduce that if  $\mathcal{O}(x) \simeq \mathcal{O}(x')$  for  $x, x' \in X(R)$  then the inclusion  $\mathcal{O}_{X_R} \simeq \mathcal{O}(x) \otimes \mathcal{O}(x')^{-1} \hookrightarrow \mathcal{O}(x)$  is an  $R^\times$ -multiple of the canonical inclusion; conclude that its cokernel has annihilator ideal  $\mathcal{I}(x)$  and  $\mathcal{I}(x')!$ ) Thus, the choice of  $x_0$  defines a closed immersion of  $X$  into the abelian variety  $\mathrm{Pic}_{X/k}^0$  of dimension  $g$ .